

Forcing consequences of PFA together with the continuum large

David Asperó

(joint work with Miguel Ángel Mota)

ICREA at U. Barcelona

RIMS Workshop, Kyoto, 2009 Nov. 16–19

PFA implies $2^{\aleph_0} = \aleph_2$.

All known proofs of this implication use forcing notions that collapse ω_2 .

Question: Does $FA(\{\mathbb{P} : \mathbb{P} \text{ proper and cardinal-preserving}\})$ imply $2^{\aleph_0} = \aleph_2$? Does even $FA(\{\mathbb{P} : \mathbb{P} \text{ proper, } |\mathbb{P}| = \aleph_1\})$ imply $2^{\aleph_0} = \aleph_2$?

In the first part of the talk I will isolate a certain subclass Γ of $\{\mathbb{P} : \mathbb{P} \text{ proper}, |\mathbb{P}| = \aleph_1\}$ and will sketch a proof that $FA(\Gamma) + 2^{\aleph_0} > \aleph_2$ is consistent.

FA(Γ) will be strong enough to imply for example the negation of Justin Moore's \bar{U} and other strong forms of the negation of Club Guessing.

In the first part of the talk I will isolate a certain subclass Γ of $\{\mathbb{P} : \mathbb{P} \text{ proper}, |\mathbb{P}| = \aleph_1\}$ and will sketch a proof that $FA(\Gamma) + 2^{\aleph_0} > \aleph_2$ is consistent.

$FA(\Gamma)$ will be strong enough to imply for example the negation of Justin Moore's \bar{U} and other strong forms of the negation of Club Guessing.

Notation

If N is a set such that $N \cap \omega_1 \in \omega_1$, set $\delta_N = N \cap \omega_1$.

Let X be a set. If $\mathcal{W} \subseteq [X]^{\aleph_0}$ and N is a set, \mathcal{W} is an *N -unbounded subset of $[X]^{\aleph_0}$* if for every $x \in N \cap X$ there is some $M \in \mathcal{W} \cap N$ with $x \in M$.

If \mathbb{P} is a partial order, \mathbb{P} is *nice* if

- (a) conditions in \mathbb{P} are functions with domain included in ω_1 , and
- (b) if $p, q \in \mathbb{P}$ are compatible, then the greatest lower bound r of p and q exists, $\text{dom}(r) = \text{dom}(p) \cup \text{dom}(q)$, and $r(\nu) = p(\nu) \cup q(\nu)$ for all $\nu \in \text{dom}(r)$ (where $f(\nu) = \emptyset$ if $\nu \notin \text{dom}(f)$).

Exercise: Every set-forcing for which $\text{glb}(p, q)$ exists whenever p and q are compatible conditions is isomorphic to a nice forcing.

Notation

If N is a set such that $N \cap \omega_1 \in \omega_1$, set $\delta_N = N \cap \omega_1$.

Let X be a set. If $\mathcal{W} \subseteq [X]^{\aleph_0}$ and N is a set, \mathcal{W} is an *N -unbounded subset of $[X]^{\aleph_0}$* if for every $x \in N \cap X$ there is some $M \in \mathcal{W} \cap N$ with $x \in M$.

If \mathbb{P} is a partial order, \mathbb{P} is *nice* if

- (a) conditions in \mathbb{P} are functions with domain included in ω_1 , and
- (b) if $p, q \in \mathbb{P}$ are compatible, then the greatest lower bound r of p and q exists, $\text{dom}(r) = \text{dom}(p) \cup \text{dom}(q)$, and $r(\nu) = p(\nu) \cup q(\nu)$ for all $\nu \in \text{dom}(r)$ (where $f(\nu) = \emptyset$ if $\nu \notin \text{dom}(f)$).

Exercise: Every set-forcing for which $\text{glb}(p, q)$ exists whenever p and q are compatible conditions is isomorphic to a nice forcing.

More notation

Given a nice partial order (\mathbb{P}, \leq) , a \mathbb{P} -condition p and a set M such that δ_M exists, we say that M is good for p iff $p \upharpoonright \delta_M \in \mathbb{P}$ and, letting

$$X = \{s \in \mathbb{P} \cap M : s \leq p \upharpoonright \delta_M, s \text{ compatible with } p\},$$

- (i) $X \neq \emptyset$, and
- (ii) for every $s \in X$ there is some $t \leq s$, $t \in M$, such that for all $t' \leq t$, if $t' \in M$, then $t' \in X$.

A class of posets

Let \mathbb{P} be a nice poset and κ an infinite cardinal. \mathbb{P} is κ -suitable if there are a binary relation R and a club $C \subseteq \omega_1$ with the following properties.

(1) If $p R (N, \mathcal{W})$, then the following conditions hold.

(1.1) N is a countable subset of $H(\kappa)$, \mathcal{W} is an N -unbounded subset of $[H(\kappa)]^{\aleph_0}$, and all members of $\mathcal{W} \cap N$ are good for p .

(1.2) If p' is a \mathcal{P} -condition extending p , then there is some $\mathcal{W}' \subseteq \mathcal{W}$ such that $p' R (N, \mathcal{W}')$.

(1.3) If $\mathcal{W}' \subseteq \mathcal{W}$ is N -unbounded, then $p R (N, \mathcal{W}')$.

(1.4) $p \upharpoonright \delta_N \in N$, and for all N' and all \mathcal{W}' with $\delta_{N'} < \delta_N$,
 $p R (N', \mathcal{W}')$ if and only if $p \upharpoonright \delta_N R (N', \mathcal{W}')$

A class of posets

(2) For every $p \in \mathcal{P}$ and every finite set $\{(N_i, \mathcal{W}_i) : i < m\}$ such that

- (o) each N_i is a countable subset of $H(\kappa)$ containing p , $\omega_1^{N_i} = \omega_1$, $\delta_{N_i} \in \mathcal{C}$, $N_i \models \text{ZFC}^*$, and
- (o) each \mathcal{W}_i is N_i -unbounded

there is a condition $q \in \mathcal{P}$ extending p and there are $\mathcal{W}'_i \subseteq \mathcal{W}_i$ ($i < m$) such that $q R(N_i, \mathcal{W}'_i)$ for all $i < m$.

We will say that a nice partial order is *absolutely κ -suitable* if it is κ -suitable in every ground model W containing it and such that $\omega_1^W = \omega_1$.

A class of posets

(2) For every $p \in \mathcal{P}$ and every finite set $\{(N_i, \mathcal{W}_i) : i < m\}$ such that

- (o) each N_i is a countable subset of $H(\kappa)$ containing p , $\omega_1^{N_i} = \omega_1$, $\delta_{N_i} \in \mathcal{C}$, $N_i \models \text{ZFC}^*$, and
- (o) each \mathcal{W}_i is N_i -unbounded

there is a condition $q \in \mathcal{P}$ extending p and there are $\mathcal{W}'_i \subseteq \mathcal{W}_i$ ($i < m$) such that $q R(N_i, \mathcal{W}'_i)$ for all $i < m$.

We will say that a nice partial order is *absolutely κ -suitable* if it is κ -suitable in every ground model W containing it and such that $\omega_1^W = \omega_1$.

A class of posets

Let Γ_κ denote the class of all absolutely κ -suitable posets consisting of finite functions included in $\omega_1 \times [\omega_1]^{<\omega}$.

Easy: For all $\kappa \geq \omega_2$, $\Gamma_\kappa \subseteq \text{Proper}$.

$FA(\Gamma_\kappa)$: For every $\mathbb{P} \in \Gamma_\kappa$ and every collection \mathcal{D} of size \aleph_1 consisting of dense subsets of \mathbb{P} there is a filter $G \subseteq \mathbb{P}$ such that $G \cap D \neq \emptyset$ for all $D \in \mathcal{D}$.

A class of posets

Let Γ_κ denote the class of all absolutely κ -suitable posets consisting of finite functions included in $\omega_1 \times [\omega_1]^{<\omega}$.

Easy: For all $\kappa \geq \omega_2$, $\Gamma_\kappa \subseteq \textit{Proper}$.

$FA(\Gamma_\kappa)$: For every $\mathbb{P} \in \Gamma_\kappa$ and every collection \mathcal{D} of size \aleph_1 consisting of dense subsets of \mathbb{P} there is a filter $G \subseteq \mathbb{P}$ such that $G \cap D \neq \emptyset$ for all $D \in \mathcal{D}$.

A class of posets

Let Γ_κ denote the class of all absolutely κ -suitable posets consisting of finite functions included in $\omega_1 \times [\omega_1]^{<\omega}$.

Easy: For all $\kappa \geq \omega_2$, $\Gamma_\kappa \subseteq \text{Proper}$.

$FA(\Gamma_\kappa)$: For every $\mathbb{P} \in \Gamma_\kappa$ and every collection \mathcal{D} of size \aleph_1 consisting of dense subsets of \mathbb{P} there is a filter $G \subseteq \mathbb{P}$ such that $G \cap D \neq \emptyset$ for all $D \in \mathcal{D}$.

One application of $FA(\Gamma_\kappa): \Omega$

Definition (Moore) \mathcal{U} : There is a sequence $\langle g_\delta : \delta < \omega_1 \rangle$ such that each $g_\delta : \delta \rightarrow \omega$ is continuous with respect to the order topology and such that for every club $C \subseteq \omega_1$ there is some $\delta \in C$ with $g_\delta \restriction C = \omega$.

- (o) Club Guessing implies \mathcal{U} .
- (o) \mathcal{U} preserved by ccc forcing, and in fact by ω -proper forcing.
- (o) Each of *BPFA* and *MRP* implies $\Omega := \neg \mathcal{U}$.

One application of $FA(\Gamma_\kappa): \Omega$

Definition (Moore) \mathcal{U} : There is a sequence $\langle g_\delta : \delta < \omega_1 \rangle$ such that each $g_\delta : \delta \rightarrow \omega$ is continuous with respect to the order topology and such that for every club $C \subseteq \omega_1$ there is some $\delta \in C$ with $g_\delta \restriction C = \omega$.

- (o) Club Guessing implies \mathcal{U} .
- (o) \mathcal{U} preserved by ccc forcing, and in fact by ω -proper forcing.
- (o) Each of *BPFA* and *MRP* implies $\Omega := \neg \mathcal{U}$.

Theorem (Moore) \mathfrak{U} implies the existence of an Aronszajn line which does not contain any Contryman suborder.

Question (Moore):

Does Ω imply $2^{\aleph_0} \leq \aleph_2$?

Theorem (Moore) \mathfrak{U} implies the existence of an Aronszajn line which does not contain any Contryman suborder.

Question (Moore):

Does Ω imply $2^{\aleph_0} \leq \aleph_2$?

Proposition: For every $\kappa \geq \omega_2$, $FA(\Gamma_\kappa)$ implies Ω .

Proof sketch:

Notation: Given X , a set of ordinals, and δ , an ordinal, set

(\circ) $rank(X, \delta) = 0$ iff δ is not a limit point of X , and

(\circ) $rank(X, \delta) > \eta$
if and only if δ is a limit of ordinals ϵ such that $rank(X, \epsilon) \geq \eta$.

Given a sequence $\mathcal{G} = \langle g_\delta : \delta < \omega_1 \rangle$ of continuous colourings, let $\mathbb{P}_{\mathcal{G}}$ be the following poset:

Proposition: For every $\kappa \geq \omega_2$, $FA(\Gamma_\kappa)$ implies Ω .

Proof sketch:

Notation: Given X , a set of ordinals, and δ , an ordinal, set

(o) $rank(X, \delta) = 0$ iff δ is not a limit point of X , and

(o) $rank(X, \delta) > \eta$
if and only if δ is a limit of ordinals ϵ such that $rank(X, \epsilon) \geq \eta$.

Given a sequence $\mathcal{G} = \langle g_\delta : \delta < \omega_1 \rangle$ of continuous colourings, let $\mathbb{P}_{\mathcal{G}}$ be the following poset:

Proposition: For every $\kappa \geq \omega_2$, $FA(\Gamma_\kappa)$ implies Ω .

Proof sketch:

Notation: Given X , a set of ordinals, and δ , an ordinal, set

(o) $rank(X, \delta) = 0$ iff δ is not a limit point of X , and

(o) $rank(X, \delta) > \eta$
if and only if δ is a limit of ordinals ϵ such that $rank(X, \epsilon) \geq \eta$.

Given a sequence $\mathcal{G} = \langle g_\delta : \delta < \omega_1 \rangle$ of continuous colourings, let $\mathbb{P}_{\mathcal{G}}$ be the following poset:

Conditions in \mathbb{P}_G are pairs $p = (f, \langle k_\xi : \xi \in D \rangle)$ satisfying the following properties:

(1) f is a finite function that can be extended to a normal function $F : \omega_1 \longrightarrow \omega_1$.

(2) For every $\xi \in \text{dom}(f)$, $\text{rank}(f(\xi), f(\xi)) \geq \xi$.

(3) $D \subseteq \text{dom}(f)$ and for every $\xi \in D$,

(3.1) $k_\xi < \omega$,

(3.2) $g_{f(\xi)}$ "range(f) $\subseteq \omega \setminus \{k_\xi\}$, and

(3.3) $\text{rank}(\{\gamma < f(\xi) : g_{f(\xi)}(\gamma) \neq k_\xi\}, f(\xi)) = \text{rank}(f(\xi), f(\xi))$.

Given conditions $p_\epsilon = (f_\epsilon, (k_\xi^\epsilon : \xi \in D_\epsilon)) \in \mathbb{P}_G$ for $\epsilon \in \{0, 1\}$, p_1 extends p_0 iff

- (i) $f_0 \subseteq f_1$,
- (ii) $D_0 \subseteq D_1$, and
- (iii) $k_\xi^1 = k_\xi^0$ for all $\xi \in D_0$.

Easy: If G is \mathbb{P}_G -generic and $C = \text{range}(\bigcup\{f : (\exists \vec{k})(\langle f, \vec{k} \rangle \in G)\})$, then C is a club of ω_1^V and for every $\delta \in C$ there is $k_\delta \in \omega$ such that $g_\delta \restriction C \subseteq \omega \setminus \{k_\delta\}$.

Given conditions $p_\epsilon = (f_\epsilon, (k_\xi^\epsilon : \xi \in D_\epsilon)) \in \mathbb{P}_G$ for $\epsilon \in \{0, 1\}$, p_1 extends p_0 iff

- (i) $f_0 \subseteq f_1$,
- (ii) $D_0 \subseteq D_1$, and
- (iii) $k_\xi^1 = k_\xi^0$ for all $\xi \in D_0$.

Easy: If G is \mathbb{P}_G -generic and $C = \text{range}(\bigcup\{f : (\exists \vec{k})(\langle f, \vec{k} \rangle \in G)\})$, then C is a club of ω_1^V and for every $\delta \in C$ there is $k_\delta \in \omega$ such that $g_\delta \text{ " } C \subseteq \omega \setminus \{k_\delta\}$.

$\mathbb{P}_G \in \Gamma_\kappa$ for every $\kappa \geq \omega_2$:

(•) We may easily translate \mathbb{P}_G into a nice forcing consisting of finite functions contained in $\omega_1 \times [\omega_1]^{<\omega}$.

(•) Given $p = (f, \langle k_\xi : \xi \in D \rangle) \in \mathbb{P}_G$, $N \subseteq H(\kappa)$ countable such that $N \models ZFC^*$ and δ_N exists, and given \mathcal{W} an N -unbounded set, set

$$pR(N, \mathcal{W})$$

if and only if

- (a) δ_N is a fixed point of f ,
- (b) $\delta_N \in D$, and
- (c) for every $M \in \mathcal{W}$, $g_{\delta_N}(\delta_M) \neq k_{\delta_N}$.

$\mathbb{P}_{\mathcal{G}} \in \Gamma_{\kappa}$ for every $\kappa \geq \omega_2$:

(•) We may easily translate $\mathbb{P}_{\mathcal{G}}$ into a nice forcing consisting of finite functions contained in $\omega_1 \times [\omega_1]^{<\omega}$.

(•) Given $p = (f, \langle k_{\xi} : \xi \in D \rangle) \in \mathbb{P}_{\mathcal{G}}$, $N \subseteq H(\kappa)$ countable such that $N \models \text{ZFC}^*$ and δ_N exists, and given \mathcal{W} an N -unbounded set, set

$$pR(N, \mathcal{W})$$

if and only if

- (a) δ_N is a fixed point of f ,
- (b) $\delta_N \in D$, and
- (c) for every $M \in \mathcal{W}$, $g_{\delta_N}(\delta_M) \neq k_{\delta_N}$.

Easy to verify:

(1) in the definition of κ -suitable

Let us check (2) in the definition of κ -suitable (with $C = \omega_1$)

[that is:

(2) For every $p \in \mathcal{P}$ and every finite set $\{(N_i, \mathcal{W}_i) : i < m\}$ such that

(a) each N_i is a countable subset of $H(\kappa)$ containing p ,
 $\omega_1^{N_i} = \omega_1$, $\delta_{N_i} \in C$, $N_i \models ZFC^*$, and

(b) each \mathcal{W}_i is N_i -unbounded

there is a condition $q \in \mathcal{P}$ extending p and there are $\mathcal{W}'_i \subseteq \mathcal{W}_i$ ($i < m$) such that $q R(N_i, \mathcal{W}'_i)$ for all $i < m$.]

Let $p = (f, \langle k_\xi : \xi \in D \rangle) \in \mathbb{P}_G$. Let $\{(N_i, \mathcal{W}_i) : i < m\}$ satisfy (a) and (b).

Let $(\delta_j)_{j < n}$ be the increasing enumeration of $\{\delta_{N_i} : i < m\}$.

Suppose $\{N_i : \delta_{N_i} = \delta_0\} = \{N_0, N_1, N_2\}$.

Let $\{k_0, \dots, k_3\}$ be $3 + 1 = 4$ colours not touched by g_{δ_0} "range(f).

There is $k^0 \in \{k_0, \dots, k_3\}$ such that, for all $i < 3$, $\mathcal{W}'_i = \{M \in \mathcal{W}_i : \delta_M \neq k^0\}$ is N_i -unbounded.

Hence we may make the promise to avoid the colour k^0 in the colouring g_{δ_0} .

Let $p = (f, \langle k_\xi : \xi \in D \rangle) \in \mathbb{P}_G$. Let $\{(N_i, \mathcal{W}_i) : i < m\}$ satisfy (a) and (b).

Let $(\delta_j)_{j < n}$ be the increasing enumeration of $\{\delta_{N_i} : i < m\}$.

Suppose $\{N_i : \delta_{N_i} = \delta_0\} = \{N_0, N_1, N_2\}$.

Let $\{k_0, \dots, k_3\}$ be $3 + 1 = 4$ colours not touched by g_{δ_0} "range(f).

There is $k^0 \in \{k_0, \dots, k_3\}$ such that, for all $i < 3$, $\mathcal{W}'_i = \{M \in \mathcal{W}_i : \delta_M \neq k^0\}$ is N_i -unbounded.

Hence we may make the promise to avoid the colour k^0 in the colouring g_{δ_0} .

Let $p = (f, \langle k_\xi : \xi \in D \rangle) \in \mathbb{P}_G$. Let $\{(N_i, \mathcal{W}_i) : i < m\}$ satisfy (a) and (b).

Let $(\delta_j)_{j < n}$ be the increasing enumeration of $\{\delta_{N_i} : i < m\}$.

Suppose $\{N_i : \delta_{N_i} = \delta_0\} = \{N_0, N_1, N_2\}$.

Let $\{k_0, \dots, k_3\}$ be $3 + 1 = 4$ colours not touched by g_{δ_0} "range(f).

There is $k^0 \in \{k_0, \dots, k_3\}$ such that, for all $i < 3$, $\mathcal{W}'_i = \{M \in \mathcal{W}_i : \delta_M \neq k^0\}$ is N_i -unbounded.

Hence we may make the promise to avoid the colour k^0 in the colouring g_{δ_0} .

Now we continue with δ_1 ,
and get a colour k^1 we may avoid in the colouring g_{δ_1} . And so on.

In the end there is a condition $q = (f', \langle k'_\xi : \xi \in D' \rangle)$, $q \leq p$, and N_i -unbounded $\mathcal{W}'_i \subseteq \mathcal{W}_i$ ($i < m$) such that

- (a) f' has all δ_j ($j < n$) as fixed points and makes the promise k^j at each δ_j , and
- (b) $q R(N_i, \mathcal{W}'_i)$ for all $i < m$.

Hence, $\mathbb{P}_{\mathcal{G}}$ is (isomorphic to) a forcing in Γ_κ .

An application of $FA(\{\mathbb{P}_{\mathcal{G}}\})$ gives now a witness of Ω for \mathcal{G} .

□

Now we continue with δ_1 ,
and get a colour k^1 we may avoid in the colouring g_{δ_1} . And so on.

In the end there is a condition $q = (f', \langle k'_\xi : \xi \in D' \rangle)$, $q \leq p$, and N_i -unbounded $\mathcal{W}'_i \subseteq \mathcal{W}_i$ ($i < m$) such that

- (a) f' has all δ_j ($j < n$) as fixed points and makes the promise k^j at each δ_j , and
- (b) $q R(N_i, \mathcal{W}'_i)$ for all $i < m$.

Hence, $\mathbb{P}_{\mathcal{G}}$ is (isomorphic to) a forcing in Γ_κ .

An application of $FA(\{\mathbb{P}_{\mathcal{G}}\})$ gives now a witness of Ω for \mathcal{G} .

□

Now we continue with δ_1 ,
and get a colour k^1 we may avoid in the colouring g_{δ_1} . And so on.

In the end there is a condition $q = (f', \langle k'_\xi : \xi \in D' \rangle)$, $q \leq p$, and N_i -unbounded $\mathcal{W}'_i \subseteq \mathcal{W}_i$ ($i < m$) such that

- (a) f' has all δ_j ($j < n$) as fixed points and makes the promise k^j at each δ_j , and
- (b) $q R(N_i, \mathcal{W}'_i)$ for all $i < m$.

Hence, $\mathbb{P}_{\mathcal{G}}$ is (isomorphic to) a forcing in Γ_{κ} .

An application of $FA(\{\mathbb{P}_{\mathcal{G}}\})$ gives now a witness of Ω for \mathcal{G} .

□

Given $n < \omega$, \mathcal{U}_n is the following weakening of \mathcal{U} :

\mathcal{U}_n : There is a sequence $\langle g_\delta : \delta < \Omega_1 \rangle$ with $g_\delta : \delta \rightarrow n$ continuous and such that for every club $C \subseteq \omega_1$ there is some $\delta \in C$ such that $g_\delta^{-1}(i) \cap C \subseteq \delta$ unbounded for each $i < n$.

$$\mathcal{U} \rightarrow \dots \rightarrow \mathcal{U}_4 \rightarrow \mathcal{U}_3 \rightarrow \mathcal{U}_2$$

Question: Does any $\text{FA}(\Gamma_\kappa)$ imply $\neg \mathcal{U}_n$ for any $n < \omega$?

Given $n < \omega$, \mathcal{U}_n is the following weakening of \mathcal{U} :

\mathcal{U}_n : There is a sequence $\langle g_\delta : \delta < \Omega_1 \rangle$ with $g_\delta : \delta \rightarrow n$ continuous and such that for every club $C \subseteq \omega_1$ there is some $\delta \in C$ such that $g_\delta^{-1}(i) \cap C \subseteq \delta$ unbounded for each $i < n$.

$$\mathcal{U} \rightarrow \dots \rightarrow \mathcal{U}_4 \rightarrow \mathcal{U}_3 \rightarrow \mathcal{U}_2$$

Question: Does any $\text{FA}(\Gamma_\kappa)$ imply $\neg \mathcal{U}_n$ for any $n < \omega$?

Other applications of $FA(\Gamma_\kappa)$

Proposition: For every $\kappa \geq \omega_2$, $FA(\Gamma_\kappa)$ implies:

\neg **VWCG:** For every \mathcal{C} , if

(a) $|\mathcal{C}| = \aleph_1$ and

(b) for all $X \in \mathcal{C}$, $X \subseteq \omega_1$ and $ot(X) = \omega$,

then there is a club $C \subseteq \omega_1$ such that $|X \cap C| < \omega$ for all $X \in \mathcal{C}$.

\neg **VWCG** is equivalent to the following statement:

For every \mathcal{C} , if

(a) $|\mathcal{C}| = \aleph_1$ and

(b) for all $X \in \mathcal{C}$, $X \subseteq \omega_1$ and X is such that for all nonzero $\gamma < \omega_1$, $rank(X, \gamma) < \gamma$ (equivalently, $ot(X \cap \gamma) < \omega^\gamma$),

then there is a club $C \subseteq \omega_1$ such that $|X \cap C| < \omega$ for all $X \in \mathcal{C}$.

Other applications of $FA(\Gamma_\kappa)$

Proposition: For every $\kappa \geq \omega_2$, $FA(\Gamma_\kappa)$ implies:

\neg **VWCG:** For every \mathcal{C} , if

(a) $|\mathcal{C}| = \aleph_1$ and

(b) for all $X \in \mathcal{C}$, $X \subseteq \omega_1$ and $ot(X) = \omega$,

then there is a club $\mathcal{C} \subseteq \omega_1$ such that $|X \cap \mathcal{C}| < \omega$ for all $X \in \mathcal{C}$.

\neg **VWCG** is equivalent to the following statement:

For every \mathcal{C} , if

(a) $|\mathcal{C}| = \aleph_1$ and

(b) for all $X \in \mathcal{C}$, $X \subseteq \omega_1$ and X is such that for all nonzero $\gamma < \omega_1$, $rank(X, \gamma) < \gamma$ (equivalently, $ot(X \cap \gamma) < \omega^\gamma$),

then there is a club $\mathcal{C} \subseteq \omega_1$ such that $|X \cap \mathcal{C}| < \omega$ for all $X \in \mathcal{C}$.

Proposition: For every $\kappa \geq \omega_2$, $FA(\Gamma_\kappa)$ implies Miyamoto's *Code(even-odd)*.

Code(even-odd): For every ladder system $\langle A_\delta : \delta \in \text{Lim}(\omega_1) \rangle$ and every $B \subseteq \omega_1$ there are clubs $C, D \subseteq \omega_1$ such that for every $\delta \in D$,

- (a) if $\delta \in B$, then $|C \cap A_\delta|$ is an even integer, and
- (b) if $\delta \notin B$, then $|C \cap A_\delta|$ is an odd integer.

Note: *Code(even-odd)* implies $\neg WCG$.

Proposition: For every $\kappa \geq \omega_2$, $FA(\Gamma_\kappa)$ implies Miyamoto's *Code*(even–odd).

Code(even–odd): For every ladder system $\langle A_\delta : \delta \in \text{Lim}(\omega_1) \rangle$ and every $B \subseteq \omega_1$ there are clubs $C, D \subseteq \omega_1$ such that for every $\delta \in D$,

- (a) if $\delta \in B$, then $|C \cap A_\delta|$ is an even integer, and
- (b) if $\delta \notin B$, then $|C \cap A_\delta|$ is an odd integer.

Note: *Code*(even–odd) implies $\neg WCG$.

The main theorem

Theorem 1 (CH) Let κ be a cardinal such that $2^{<\kappa} = \kappa$ and $\kappa^{\aleph_1} = \kappa$. Then there is a partial order \mathcal{P} such that

- (1) \mathcal{P} is proper,
- (2) \mathcal{P} has the \aleph_2 -chain condition,
- (3) \mathcal{P} forces
 - (•) $FA(\Gamma_\kappa)_{<cf(\kappa)}$
 - (•) $2^{\aleph_0} = \kappa$

We don't know of interesting consequences of $FA(\Gamma_\kappa)_{<cf(\kappa)}$ which do not already follow from $FA(\Gamma_\kappa)$ (except for $2^{\aleph_0} \geq cf(\kappa)$).

The main theorem

Theorem 1 (CH) Let κ be a cardinal such that $2^{<\kappa} = \kappa$ and $\kappa^{\aleph_1} = \kappa$. Then there is a partial order \mathcal{P} such that

- (1) \mathcal{P} is proper,
- (2) \mathcal{P} has the \aleph_2 -chain condition,
- (3) \mathcal{P} forces
 - (•) $FA(\Gamma_\kappa)_{<cf(\kappa)}$
 - (•) $2^{\aleph_0} = \kappa$

We don't know of interesting consequences of $FA(\Gamma_\kappa)_{<cf(\kappa)}$ which do not already follow from $FA(\Gamma_\kappa)$ (except for $2^{\aleph_0} \geq cf(\kappa)$).

Proof sketch

Let $\Phi : \kappa \longrightarrow H(\kappa)$ be a bijection.

(Φ exists by $2^{<\kappa} = \kappa$.)

Also, let $\langle \theta_\alpha : \alpha \leq \kappa \rangle$ be this increasing sequence of regular cardinals: $\theta_0 = (2^\kappa)^+$, $\theta_\gamma = (\sup_{\alpha < \gamma} \theta_\alpha)^+$ if γ is a nonzero limit ordinal, and $\theta_{\alpha+1} = (2^{\theta_\alpha})^+$.

Proof sketch (continued)

Coherent systems of structures

$\{N_i : i < m\}$ is a *coherent systems of structures* if

a1) $m < \omega$ and every N_i is a countable subset of $H(\kappa)$ such that $(N_i, \epsilon, \Phi \cap N_i) \preccurlyeq (H(\kappa), \epsilon, \Phi)$.

a2) Given distinct i, i' in m , if $\delta_{N_i} = \delta_{N_{i'}}$, then there is an isomorphism

$$\Psi_{N_i, N_{i'}} : (N_i, \epsilon, \Phi \cap N_i) \longrightarrow (N_{i'}, \epsilon, \Phi \cap N_{i'})$$

Furthermore, $\Psi_{N_i, N_{i'}}$ is the identity on $\kappa \cap N_i \cap N_{i'}$.

Proof sketch (continued)

- a3) For all i, j in m , if $\delta_{N_j} < \delta_{N_i}$, then there is some $i' < m$ such that $\delta_{N_{i'}} = \delta_{N_i}$ and $N_j \in N_{i'}$.
- a4) For all i, i', j in m , if $N_j \in N_i$ and $\delta_{N_i} = \delta_{N_{i'}}$, then there is some $j' < m$ such that $N_{j'} = \Psi_{N_i, N_{i'}}(N_j)$.

Proof sketch (continued)

Our forcing will be the direct limit \mathcal{P}_κ of a sequence $\langle \mathcal{P}_\alpha : \alpha < \kappa \rangle$ of posets such that

- (o) \mathcal{P}_α is a complete suborder of \mathcal{P}_β if $\alpha < \beta \leq \kappa$, and
- (o) a condition q in \mathcal{P}_α is an α -sequence p together with a certain system Δ_q of side conditions.

Unlike in a usual iteration, p will not consist of names, but of well-determined objects (finite functions included in $\omega_1 \times [\omega_1]^{<\omega}$).

Proof sketch (continued)

Our forcing will be the direct limit \mathcal{P}_κ of a sequence $\langle \mathcal{P}_\alpha : \alpha < \kappa \rangle$ of posets such that

- (o) \mathcal{P}_α is a complete suborder of \mathcal{P}_β if $\alpha < \beta \leq \kappa$, and
- (o) a condition q in \mathcal{P}_α is an α -sequence p together with a certain system Δ_q of side conditions.

Unlike in a usual iteration, p will not consist of names, but of well-determined objects (finite functions included in $\omega_1 \times [\omega_1]^{<\omega}$).

Defining $\langle \mathcal{P}_\alpha : \alpha \leq \kappa \rangle$

\mathcal{P}_0 : Conditions are $p = \{(N_i, 0) : i < m\}$ where $\{N_i : i < m\}$ is a coherent system of structures.

\leq_0 is \supseteq .

Defining $\langle \mathcal{P}_\alpha : \alpha \leq \kappa \rangle$ (continued)

Suppose \mathcal{P}_α defined and suppose conditions in \mathcal{P}_α are pairs (p, Δ_p) with p an α -sequence and $\Delta_p = \{(N, \beta_i) : i < m\}$.

Suppose \mathcal{P}_α has the \aleph_2 -chain condition and $|\mathcal{P}_\alpha| = \kappa$.

By $\kappa^{\aleph_1} = \kappa$ we may fix an enumeration \dot{Q}_i^α (for $i < \kappa$) of nice κ -suitable partial orders consisting of finite functions included in $\omega_1 \times [\omega_1]^{<\omega}$ such that for every \mathcal{P}_α -name \dot{Q} for such a poset there are κ -many $i < \kappa$ such that $\Vdash_{\mathcal{P}_\alpha} \dot{Q} = \dot{Q}_i^\alpha$.

We also fix \mathcal{P}_α -names \dot{R}_i^α and \dot{C}_i^α (for $i < \kappa$) such that \mathcal{P}_α forces that \dot{R}_i^α and \dot{C}_i^α witness that \dot{Q}_i^α is κ -suitable.

Let \mathcal{M}_α be the club of all countable elementary substructures of $H(\theta_\alpha)$ containing $\langle \mathcal{P}_\beta : \beta \leq \alpha \rangle$.

Defining $\langle \mathcal{P}_\alpha : \alpha \leq \kappa \rangle$ (continued)

Suppose \mathcal{P}_α defined and suppose conditions in \mathcal{P}_α are pairs (p, Δ_p) with p an α -sequence and $\Delta_p = \{(N, \beta_i) : i < m\}$.

Suppose \mathcal{P}_α has the \aleph_2 -chain condition and $|\mathcal{P}_\alpha| = \kappa$.

By $\kappa^{\aleph_1} = \kappa$ we may fix an enumeration \dot{Q}_i^α (for $i < \kappa$) of nice κ -suitable partial orders consisting of finite functions included in $\omega_1 \times [\omega_1]^{<\omega}$ such that for every \mathcal{P}_α -name \dot{Q} for such a poset there are κ -many $i < \kappa$ such that $\Vdash_{\mathcal{P}_\alpha} \dot{Q} = \dot{Q}_i^\alpha$.

We also fix \mathcal{P}_α -names \dot{R}_i^α and \dot{C}_i^α (for $i < \kappa$) such that \mathcal{P}_α forces that \dot{R}_i^α and \dot{C}_i^α witness that \dot{Q}_i^α is κ -suitable.

Let \mathcal{M}_α be the club of all countable elementary substructures of $H(\theta_\alpha)$ containing $\langle \mathcal{P}_\beta : \beta \leq \alpha \rangle$.

Defining $\langle \mathcal{P}_\alpha : \alpha \leq \kappa \rangle$ (continued)

$\mathcal{P}_{\alpha+1}$: Conditions are

$$q = (p \wedge \langle f_i : i \in a \rangle, \{(N_i, \beta_i) : i < m\})$$

satisfying the following conditions. (We denote $\{(N_i, \beta_i) : i < m\}$ by Δ_q)

b1) For all $i < m$, $\beta_i \leq \min\{\alpha + 1, \sup(N_i \cap \kappa)\}$.

b2) The restriction of q to α is a condition in \mathcal{P}_α . This restriction is defined as $q|_\alpha := (p, \{(N_i, \beta_i^\alpha) : i < m\})$; where $\beta_i^\alpha = \beta_i$ if $\beta_i < \alpha + 1$, and $\beta_i^\alpha = \alpha$ if $\beta_i = \alpha + 1$.

b3) a is a finite subset of κ .

Defining $\langle \mathcal{P}_\alpha : \alpha \leq \kappa \rangle$ (continued)

b4) For each $i \in a$, f_i is a finite function included in $\omega_1 \times [\omega_1]^{<\omega}$ and $q|_\alpha$ forces (in \mathcal{P}_α) that $f_i \in \dot{Q}_i^\alpha$.

b5) For every N such that $(N, \alpha + 1) \in \Delta_q$ and $\alpha + 1 \in N$, $q|_\alpha$ forces that there is some $\mathcal{W}_N \subseteq \mathcal{W}^\alpha$ such that

$$f_i \dot{R}_i^\alpha(N, \mathcal{W}_N)$$

for all $i \in a \cap N$.

Here, \mathcal{W}^α denotes the collection of all M such that $(M, \alpha) \in \Delta_u$ for some $u \in \dot{G}_\alpha$ and such that $M = M^* \cap H(\kappa)$ for some $M^* \in \mathcal{M}_\alpha$.

Defining $\langle \mathcal{P}_\alpha : \alpha \leq \kappa \rangle$ (continued)

Given conditions

$$q_\epsilon = (p_\epsilon \widehat{\langle f_i^\epsilon : i \in a_\epsilon \rangle}, \{(N_i^\epsilon, \beta_i^\epsilon) : i < m_\epsilon\})$$

(for $\epsilon \in \{0, 1\}$), we will say that $q_1 \leq_{\alpha+1} q_0$ if and only if the following holds.

c1) $q_1|_\alpha \leq_\alpha q_0|_\alpha$

c2) $a_0 \subseteq a_1$

c3) For all $i \in a_0$, $q|_\alpha$ forces in \mathcal{P}_α that $f_i^1 \leq_{\dot{Q}_i^\alpha} f_i^0$.

c4) For all $i < m_0$ there exists $\tilde{\beta}_i \geq \beta_i^0$ such that $(N_i^0, \tilde{\beta}_i) \in \Delta_{q_1}$.

Defining $\langle \mathcal{P}_\alpha : \alpha \leq \kappa \rangle$ (continued)

Suppose $\alpha \leq \kappa$ is a nonzero limit ordinal.

\mathcal{P}_α Conditions are $q = (p, \{(N_i, \beta_i) : i < m\})$ such that:

d 1) p is a sequence of length α .

d 2) For all $i < m$, $\beta_i \leq \min\{\alpha, \sup(X_i \cap \kappa)\}$. (Note that β_i is always less than κ , even when $\alpha = \kappa$.)

d 3) For every $\varepsilon < \alpha$, the restriction $q|_\varepsilon := (p \upharpoonright \varepsilon, \{(X_i, \beta_i^\varepsilon) : i < m\})$ is a condition in \mathcal{P}_ε ; where $\beta_i^\varepsilon = \beta_i$ if $\beta_i \leq \varepsilon$, and $\beta_i^\varepsilon = \varepsilon$ if $\beta_i > \varepsilon$.

d 4) The set of $\zeta < \alpha$ such that $p(\zeta) \neq \emptyset$ is finite.

Defining $\langle \mathcal{P}_\alpha : \alpha \leq \kappa \rangle$ (continued)

Given conditions $q_1 = (p_1, \Delta_1)$ and $q_0 = (p_0, \Delta_0)$ in \mathcal{P}_α ,
 $q_1 \leq_\alpha q_0$ if and only if:

- e) For every $\beta < \gamma$, $q_1|_\beta \leq_\beta q_0|_\beta$. (Notice that $(p_1, \Delta_1) \leq_\gamma (p_0, \Delta_0)$ implies that for every $(X_i, \beta_i) \in \Delta_0$ there exists $\tilde{\beta}_i \geq \beta_i$ such that $(X_i, \tilde{\beta}_i) \in \Delta_1$.)

Notation: If $\alpha \leq \kappa$ and $q = (p, \{(N_i, \beta_i) : i < m\}) \in \mathcal{P}_\alpha$, we set $\mathcal{X}_q = \{N_i : i < m\}$.

Defining $\langle \mathcal{P}_\alpha : \alpha \leq \kappa \rangle$ (continued)

Given conditions $q_1 = (p_1, \Delta_1)$ and $q_0 = (p_0, \Delta_0)$ in \mathcal{P}_α ,
 $q_1 \leq_\alpha q_0$ if and only if:

- e) For every $\beta < \gamma$, $q_1|_\beta \leq_\beta q_0|_\beta$. (Notice that $(p_1, \Delta_1) \leq_\gamma (p_0, \Delta_0)$ implies that for every $(X_i, \beta_i) \in \Delta_0$ there exists $\tilde{\beta}_i \geq \beta_i$ such that $(X_i, \tilde{\beta}_i) \in \Delta_1$.)

Notation: If $\alpha \leq \kappa$ and $q = (p, \{(N_i, \beta_i) : i < m\}) \in \mathcal{P}_\alpha$, we set $\mathcal{X}_q = \{N_i : i < m\}$.

Main facts about $\langle \mathcal{P}_\alpha : \alpha \leq \kappa \rangle$

Lemma Let $\alpha \leq \beta \leq \kappa$.

If $q = (p, \Delta_q) \in \mathcal{P}_\alpha$, $s = (r, \Delta_s) \in \mathcal{P}_\beta$ and $q \leq_\alpha s|_\alpha$, then $(p \hat{\ } (r \upharpoonright [\alpha, \beta]), \Delta_q \cup \Delta_s)$ is a condition in \mathcal{P}_β extending s .

Therefore, \mathcal{P}_α can be seen as a complete suborder of \mathcal{P}_β .

Lemma For every $\alpha \leq \kappa$, \mathcal{P}_α is \aleph_2 -Knaster.

Main facts about $\langle \mathcal{P}_\alpha : \alpha \leq \kappa \rangle$

Lemma Let $\alpha \leq \beta \leq \kappa$.

If $q = (p, \Delta_q) \in \mathcal{P}_\alpha$, $s = (r, \Delta_s) \in \mathcal{P}_\beta$ and $q \leq_\alpha s|_\alpha$, then $(p \hat{\ } (r \upharpoonright [\alpha, \beta]), \Delta_q \cup \Delta_s)$ is a condition in \mathcal{P}_β extending s .

Therefore, \mathcal{P}_α can be seen as a complete suborder of \mathcal{P}_β .

Lemma For every $\alpha \leq \kappa$, \mathcal{P}_α is \aleph_2 -Knaster.

Lemma Suppose $\alpha \leq \kappa$ and $N^* \in \mathcal{M}_\alpha$. Then,

(1) $_\alpha$ for every $q \in N^* \cap \mathcal{P}_\alpha$ there is $q' \leq_\alpha q$ such that $(N^* \cap H(\kappa), \alpha) \in \Delta_{q'}$, and

(2) $_\alpha$ for every $q \in \mathcal{P}_\alpha$, if $(N^* \cap H(\kappa), \alpha) \in \Delta_q$, then q is $(N^*, \mathcal{P}_\alpha)$ -generic.

The proof is by induction on α .

Proof sketch of $(2)_\alpha$ in the case $\alpha = \sigma + 1$:

Let $N = N^* \cap H(\kappa)$. Let A be a maximal antichain of \mathcal{P}_α in N^* .
By the \aleph_2 -condition of \mathcal{P}_α and $cf(\kappa) \geq \omega_2$, $A \in N$.

It suffices to show that every q satisfying the hypothesis of $(2)_\alpha$ is compatible with some condition in $A \cap N^*$ ($= A \cap N$).

By pre-density of A we may assume, without loss of generality, that q extends some condition \tilde{q} in A .

The proof is by induction on α .

Proof sketch of $(2)_\alpha$ in the case $\alpha = \sigma + 1$:

Let $N = N^* \cap H(\kappa)$. Let A be a maximal antichain of \mathcal{P}_α in N^* .
By the \aleph_2 -condition of \mathcal{P}_α and $cf(\kappa) \geq \omega_2$, $A \in N$.

It suffices to show that every q satisfying the hypothesis of $(2)_\alpha$ is compatible with some condition in $A \cap N^*$ ($= A \cap N$).

By pre-density of A we may assume, without loss of generality, that q extends some condition \tilde{q} in A .

Claim

For every $i \in \kappa \setminus N$ there are ordinals $\alpha_i < \beta_i$ such that

- (a) $\alpha_i \in N$ and $\beta_i \in (\kappa \cap N) \cup \{\kappa\}$,
- (b) $\alpha_i < i < \beta_i$, and
- (c) $[\alpha_i, \beta_i) \cap N' \cap N = \emptyset$ whenever $N' \in \mathcal{X}_q \setminus N^*$ is such that $\delta_{N'} < \delta_N$.

[This is proved using the fact that all $\Psi_{\bar{N}, N}$ fix $\kappa \cap \bar{N} \cap N$ and are continuous (for $\bar{N} \in \mathcal{X}_q$ with $\delta_{\bar{N}} = \delta_N$), meaning that $\Psi_{\bar{N}, N}(\xi) = \sup(\Psi_{\bar{N}, N} \text{ ``}\xi)$ whenever $\xi \in \bar{N}$ is an ordinal of countable cofinality.]

Suppose $a^q \setminus N^* = \{i_0, \dots, i_{n-1}\}$, and for each $k < n$ let $\alpha_k < \beta_k$ be ordinals realizing the above claim for i_k .

Let us work in $V^{\mathcal{P}_{\sigma+1}(q|\sigma)}$. By condition **b5)** in the definition of $\mathcal{P}_{\sigma+1}$ we know that there is a an N -unbounded $\mathcal{W}_N \subseteq \mathcal{W}^\sigma$ such that $f_i^q \dot{R}_i^\sigma(N, \mathcal{W}_N)$ for all $i \in a^q \cap N$.

By an inductive construction (using (1) in the definition of κ -suitable) we may find an N -unbounded $\mathcal{W} \subseteq \mathcal{W}_N$ such that $f_i^q \dot{R}_i^\sigma(N, \mathcal{W})$ for all $i \in a^q \cap N$ and such that each $M \in \mathcal{W}$ is good for f_j^q for every $j \in a^q \cap M$.

Hence, we may find $M \in N$ such that

- (a) $M = M^* \cap H(\kappa)$ for some \mathcal{M}_σ ,
- (b) M contains A , $\{N' : \alpha \in N', (N', \alpha) \in D_q \cap N\}$, $a^q \cap N^*$, $f_i^q \upharpoonright \delta_N$ for every $i \in a^q \cap N$, α_k for every $k < n$, and β_k for every $k < n$ with $\beta_k < \kappa$,
- (c) $(M, \sigma) \in \Delta_u$ for some $u \in \dot{G}_\sigma$, and
- (d) M is good for f_i^q for every $i \in a^q \cap N$.

For every $i \in a^q \cap N$ let f_i be a \dot{Q}_i^σ -condition in M extending $f_i^q \upharpoonright \delta_M = f_i^q \upharpoonright \delta_N$ and such that every \dot{Q}_i^σ -condition in M extending f_i is compatible with f_i^q .

By extending q below σ we may assume that $(M, \sigma) \in \Delta_q$ and that q_σ decides f_i for every $i \in a^q$.

The result of replacing f_i^q with $\text{glb}(f_i, f_i^q)$ in q for every $i \in a^q \cap N^*$ is a $\mathcal{P}_{\sigma+1}$ -condition.

Hence, by further extending q if necessary we may assume that every \dot{Q}_i^σ -condition in M^* extending $f_i^q \upharpoonright \delta_M$ is compatible with f_i^q .

For every $i \in a^q \cap N$ let f_i be a \dot{Q}_i^σ -condition in M extending $f_i^q \upharpoonright \delta_M = f_i^q \upharpoonright \delta_N$ and such that every \dot{Q}_i^σ -condition in M extending f_i is compatible with f_i^q .

By extending q below σ we may assume that $(M, \sigma) \in \Delta_q$ and that q_σ decides f_i for every $i \in a^q$.

The result of replacing f_i^q with $\text{glb}(f_i, f_i^q)$ in q for every $i \in a^q \cap N^*$ is a $\mathcal{P}_{\sigma+1}$ -condition.

Hence, by further extending q if necessary we may assume that every \dot{Q}_i^σ -condition in M^* extending $f_i^q \upharpoonright \delta_M$ is compatible with f_i^q .

Let now G be a \mathcal{P}_σ -generic filter over the ground model with $q|_\sigma \in G$.

By correctness of $M^*[G]$ within $H(\theta_\sigma)[G]$ we know that in $M^*[G]$ there is a condition q° satisfying the following conditions.

- (i) $q^\circ \in A$ and $q^\circ|_\sigma \in G$.
- (ii) $a^{q^\circ} = (a^{\tilde{q}} \cap N) \cup \{i_0^\circ, \dots, i_{n-1}^\circ\}$ with $\alpha_k < i_k^\circ < \beta_k$ for all $k < n$.
- (iii) For all $i \in a^{\tilde{q}} \cap N^*$, $f_i^{q^\circ}$ extends $f_i^q \upharpoonright \delta_N$ in \dot{Q}_i^σ .
- (iv) For every N' with $\alpha \in N'$, if $(N', \alpha) \in \Delta_q \cap N$ or $(N', \alpha) \in \Delta_{q^\circ}$, then there is an N' -unbounded $\mathcal{W}_{N'} \subseteq \mathcal{W}_\sigma$ such that
 - (o) $f_i^q \upharpoonright \delta_N \dot{R}_i^\sigma(N', \mathcal{W}_{N'})$ for all $i \in (a^q \setminus a^{\tilde{q}}) \cap M$ with $f_i^q \upharpoonright \delta_N \notin N'$,
and
 - (o) $f_i^{q^\circ} \dot{R}_i^\sigma(N', \mathcal{W}_{N'})$ for all $i \in a^{q^\circ} \cap N'$.

(The existence of such a q° is witnessed, in $V[G]$, by q itself. It is expressed by saying “there is some $q^\circ \in \dot{A}$ ” for a suitable \mathcal{P}_σ -name $\dot{A} \in M$ definable from A , $\Delta_q \cap N$ and $f_i^q \upharpoonright \delta_M$, for $i \in a^q \cap N$).

By induction hypothesis, $q|_\sigma$ is $(M^*, \mathcal{P}_\sigma)$ -generic. Hence, $M^*[G] \cap V = M^*$. It follows that q° is in M^* .

By extending q below σ we may assume that q decides q° and also that it extends $q^\circ|_\sigma$. The proof in this case will be finished if we show that q and q° are compatible.

It is not difficult to find f_i^* (for $i \in a^q \cup \{i_0^*, \dots, i_{n_1}^*\}$) extending f_i^q and/or $f_{i_k}^{q^\circ}$ (for $k < n$) for which, in $V^{\mathcal{P}_\sigma}(q|_\sigma)$, we can verify condition $b5)$ with respect to all N' such that $(N', \alpha) \in \Delta_q \cup \Delta_{q^\circ}$ and $\alpha \in N'$.

If $\delta_{N'} \geq \delta_N$, we use condition (2) (and (1)) in the definition of κ -suitable.

If $\delta_{N'} < \delta_N$ and $N' \in M^*$ (that is, $(N', \sigma + 1) \in \Delta_{q^\circ}$), we use condition (1) in the definition of κ -suitable.

By induction hypothesis, $q|_\sigma$ is $(M^*, \mathcal{P}_\sigma)$ -generic. Hence, $M^*[G] \cap V = M^*$. It follows that q° is in M^* .

By extending q below σ we may assume that q decides q° and also that it extends $q^\circ|_\sigma$. The proof in this case will be finished if we show that q and q° are compatible.

It is not difficult to find f_i^* (for $i \in a^q \cup \{i_0^\circ, \dots, i_{n_1}^*\}$) extending f_i^q and/or $f_{i_k^\circ}^{q^\circ}$ (for $k < n$) for which, in $V^{\mathcal{P}_\sigma \upharpoonright (q|_\sigma)}$, we can verify condition **b5**) with respect to all N' such that $(N', \alpha) \in \Delta_q \cup \Delta_{q^\circ}$ and $\alpha \in N'$.

If $\delta_{N'} \geq \delta_N$, we use condition (2) (and (1)) in the definition of κ -suitable.

If $\delta_{N'} < \delta_N$ and $N' \in M^*$ (that is, $(N', \sigma + 1) \in \Delta_{q^\circ}$), we use condition (1) in the definition of κ -suitable.

By induction hypothesis, $q|_\sigma$ is $(M^*, \mathcal{P}_\sigma)$ -generic. Hence, $M^*[G] \cap V = M^*$. It follows that q° is in M^* .

By extending q below σ we may assume that q decides q° and also that it extends $q^\circ|_\sigma$. The proof in this case will be finished if we show that q and q° are compatible.

It is not difficult to find f_i^* (for $i \in a^q \cup \{i_0^*, \dots, i_{n_1}^*\}$) extending f_i^q and/or $f_{i_0^k}^{q^\circ}$ (for $k < n$) for which, in $V^{\mathcal{P}_\sigma \upharpoonright (q|_\sigma)}$, we can verify condition **b5)** with respect to all N' such that $(N', \alpha) \in \Delta_q \cup \Delta_{q^\circ}$ and $\alpha \in N'$.

If $\delta_{N'} \geq \delta_N$, we use condition (2) (and (1)) in the definition of κ -suitable.

If $\delta_{N'} < \delta_N$ and $N' \in M^*$ (that is, $(N', \sigma + 1) \in \Delta_{q^\circ}$), we use condition (1) in the definition of κ -suitable.

The only potentially problematic case is when $\delta_{N'} < \delta_N$ and $N' \in \mathcal{X}_q \setminus M^*$. But we are safe also in this case since then $(a^q \cup \{i_0^\circ, \dots, i_{n_1}^*\}) \cap N' = a^q \cap N'$. We apply again (1) in the definition of κ -suitable.

Finally we extend q below σ once more to a condition q' deciding f_j^* . Now we amalgamate q' and q° and get a legal \mathcal{P}_α -condition (note that in extending q below σ we are not adding new pairs $(N', \sigma + 1)$ to Δ).

This finishes the (very sketchy) proof of the lemma in this case.

□

The only potentially problematic case is when $\delta_{N'} < \delta_N$ and $N' \in \mathcal{X}_q \setminus M^*$. But we are safe also in this case since then $(a^q \cup \{i_0^\circ, \dots, i_{n_1}^*\}) \cap N' = a^q \cap N'$. We apply again (1) in the definition of κ -suitable.

Finally we extend q below σ once more to a condition q' deciding f_j^* . Now we amalgamate q' and q° and get a legal \mathcal{P}_α -condition (note that in extending q below σ we are not adding new pairs $(N', \sigma + 1)$ to Δ).

This finishes the (very sketchy) proof of the lemma in this case.

□

Given ordinals $\alpha < \kappa$ and $i < \kappa$, we let \dot{G}_i^α be a $\mathcal{P}_{\alpha+1}$ for the collection of all f_i^q , where $q \in \dot{G}_{\alpha+1}$, $\alpha \in P\text{supp}(q)$, and $i \in a^q$.

Lemma

For every $\alpha < \kappa$ and every $i < \kappa$, $\mathcal{P}_{\alpha+1}$ forces that \dot{G}_i^α is a $V^{\mathcal{P}_\alpha}$ -generic filter over \dot{Q}_i^α .

From the above lemmas it is easy to see by standard arguments that \mathcal{P}_κ forces $FA(\Gamma_\kappa)_{<cf(\kappa)}$ and $2^{\aleph_0} = \kappa$. \square

Given ordinals $\alpha < \kappa$ and $i < \kappa$, we let \dot{G}_i^α be a $\mathcal{P}_{\alpha+1}$ for the collection of all f_i^q , where $q \in \dot{G}_{\alpha+1}$, $\alpha \in P\text{supp}(q)$, and $i \in a^q$.

Lemma

For every $\alpha < \kappa$ and every $i < \kappa$, $\mathcal{P}_{\alpha+1}$ forces that \dot{G}_i^α is a $V^{\mathcal{P}_\alpha}$ -generic filter over \dot{Q}_i^α .

From the above lemmas it is easy to see by standard arguments that \mathcal{P}_κ forces $FA(\Gamma_\kappa)_{<cf(\kappa)}$ and $2^{\aleph_0} = \kappa$. □

Separating consequences of $FA(\Gamma_\kappa)$ (in conjunction with $2^{\aleph_0} = \aleph_2$)

Strong Club Guessing (SCG): There is a stationary set $S \subseteq \omega_1$ and a ladder system $\langle A_\delta : \delta \in S \rangle$ on S such that for every club $C \subseteq \omega_1$ there exists a club $D \subseteq C$ with the property that for every δ in $S \cap D$, a final segment of A_δ is included in C .

Note: If there is an SCG-sequence on S , then there is a *strong \bar{U} -sequence on S* : a sequence of continuous functions $g_\delta : \delta \rightarrow \omega$ ($\delta \in S$) such that for every club $C \subseteq \omega_1$, there exists a club $D \subseteq C$ with the property that for every $\delta \in D \cap S$ and every $n \in \omega$, there are cofinally many $\varepsilon \in C \cap \delta$ with $g_\delta(\varepsilon) = n$.

Separating consequences of $FA(\Gamma_\kappa)$ (in conjunction with $2^{\aleph_0} = \aleph_2$)

Strong Club Guessing (SCG): There is a stationary set $S \subseteq \omega_1$ and a ladder system $\langle A_\delta : \delta \in S \rangle$ on S such that for every club $C \subseteq \omega_1$ there exists a club $D \subseteq C$ with the property that for every δ in $S \cap D$, a final segment of A_δ is included in C .

Note: If there is an *SCG*-sequence on S , then there is a *strong \bar{U} -sequence on S* : a sequence of continuous functions $g_\delta : \delta \rightarrow \omega$ ($\delta \in S$) such that for every club $C \subseteq \omega_1$, there exists a club $D \subseteq C$ with the property that for every $\delta \in D \cap S$ and every $n \in \omega$, there are cofinally many $\varepsilon \in C \cap \delta$ with $g_\delta(\varepsilon) = n$.

Fact: There is a proper poset forcing CH together with the existence of an $SCG(Lim(\omega_1))$ -sequence.

Theorem 2 ($CH + \text{strong } \bar{U}$) Let κ be a cardinal such that $\kappa^{\aleph_1} = \kappa$. Then there is a poset \mathcal{P} such that

- (1) \mathcal{P} is proper and has the \aleph_2 -chain condition, and
- (2) \mathcal{P} forces $Code(\text{even-odd})$, \bar{U} , and $2^{\aleph_0} = \kappa$.

Fact: There is a proper poset forcing CH together with the existence of an $SCG(Lim(\omega_1))$ -sequence.

Theorem 2 ($CH + \text{strong } \mathcal{U}$) Let κ be a cardinal such that $\kappa^{\aleph_1} = \kappa$. Then there is a poset \mathcal{P} such that

- (1) \mathcal{P} is proper and has the \aleph_2 -chain condition, and
- (2) \mathcal{P} forces $Code(\text{even-odd})$, \mathcal{U} , and $2^{\aleph_0} = \kappa$.

Proof sketch: Let $\langle g_\delta : \delta \in S \rangle$ be a strong \mathcal{U} -sequence. Define a “streamlined version” of the construction for Theorem 1, considering only the natural posets with finite conditions for forcing instances of *Code*(even-odd). Argue that $\langle g_\delta : \delta \in S \rangle$ remains a \mathcal{U} -sequence in the end.

Another separation

A ladder system $\mathcal{A} = \langle A_\delta : \delta \in S \rangle$ is a *strong WCG–sequence* in case for every club $C \subseteq \omega_1$ there is a club $D \subseteq C$ with the property that $|A_\delta \cap C| < \aleph_0$ for every $\delta \in D \cap S$.

Theorem 3 (CH) Let κ be a cardinal such that $\kappa^{\aleph_1} = \kappa$ and $2^{<\kappa} = \kappa$. Suppose $\mathcal{A} = \langle A_\delta : \delta \in S \rangle$ is a strong WCG–sequence with S stationary. Then there exists a proper forcing notion with the \aleph_2 –chain condition and forcing the following statements.

- (1) \mathcal{A} is a WCG–sequence.
- (2) $\neg \bar{U}$
- (3) $2^{\aleph_0} = \kappa$

Another separation

A ladder system $\mathcal{A} = \langle A_\delta : \delta \in S \rangle$ is a *strong WCG–sequence* in case for every club $C \subseteq \omega_1$ there is a club $D \subseteq C$ with the property that $|A_\delta \cap C| < \aleph_0$ for every $\delta \in D \cap S$.

Theorem 3 (CH) Let κ be a cardinal such that $\kappa^{\aleph_1} = \kappa$ and $2^{<\kappa} = \kappa$. Suppose $\mathcal{A} = \langle A_\delta : \delta \in S \rangle$ is a strong WCG–sequence with S stationary. Then there exists a proper forcing notion with the \aleph_2 –chain condition and forcing the following statements.

- (1) \mathcal{A} is a WCG–sequence.
- (2) $\neg \bar{U}$
- (3) $2^{\aleph_0} = \kappa$

Ishiu has separated *WCG* from \mathcal{U} in both directions (and more).
In his models $2^{\aleph_0} \leq \aleph_2$.

Another strong failure of Club Guessing

Definition (Moore): *Measuring*: For every sequence $(C_\delta : \delta < \omega_1)$ such that each C_δ is a closed subset of δ there is a club $D \subseteq \omega_1$ such that for every limit point $\delta \in D$ of D ,

- (a) either a tail of $D \cap \delta$ is contained in C_δ ,
- (b) or a tail of $D \cap \delta$ is disjoint from C_δ .

(o) *Measuring* follows from *BPFA* and also from *MRP*.

(o) *Measuring* implies the negation of Weak Club Guessing and implies $\neg\mathfrak{U}_2$ (and hence also $\neg\mathfrak{U}$).

Another strong failure of Club Guessing

Definition (Moore): *Measuring*: For every sequence $(C_\delta : \delta < \omega_1)$ such that each C_δ is a closed subset of δ there is a club $D \subseteq \omega_1$ such that for every limit point $\delta \in D$ of D ,

- (a) either a tail of $D \cap \delta$ is contained in C_δ ,
 - (b) or a tail of $D \cap \delta$ is disjoint from C_δ .
- (c) *Measuring* follows from *BPFA* and also from *MRP*.
- (c) *Measuring* implies the negation of Weak Club Guessing and implies $\neg\mathcal{U}_2$ (and hence also $\neg\mathcal{U}$).

A strong form of Measuring

Definition: Given a cardinal λ , $\text{Measuring}^*_{<\lambda}$ is the following statement:

For every set \mathcal{C} consisting of closed subsets of ω_1 and with $|\mathcal{C}| < \lambda$ there is a club $D \subseteq \omega_1$ such that for every limit point $\delta \in D$ of D and every $C \in \mathcal{C}$,

- (a) either a tail of $D \cap \delta$ is contained in C ,
- (b) or a tail of $D \cap \delta$ is disjoint from C .

$\text{Measuring}^*_{<\omega_2}$ clearly implies Measuring and $\neg\text{VWCG}$.

$\text{Measuring}^*_{<\omega_2}$ follows from BPFA . $\text{Measuring}^*_{<\omega_3}$ doesn't (note that $\text{Measuring}^*_{<\lambda}$ implies $2^{\aleph_0} \geq \lambda$).

A strong form of Measuring

Definition: Given a cardinal λ , $\text{Measuring}^*_{<\lambda}$ is the following statement:

For every set \mathcal{C} consisting of closed subsets of ω_1 and with $|\mathcal{C}| < \lambda$ there is a club $D \subseteq \omega_1$ such that for every limit point $\delta \in D$ of D and every $C \in \mathcal{C}$,

- (a) either a tail of $D \cap \delta$ is contained in C ,
- (b) or a tail of $D \cap \delta$ is disjoint from C .

$\text{Measuring}^*_{<\omega_2}$ clearly implies Measuring and $\neg\text{VWCG}$.

$\text{Measuring}^*_{<\omega_2}$ follows from *BPFA*. $\text{Measuring}^*_{<\omega_3}$ doesn't (note that $\text{Measuring}^*_{<\lambda}$ implies $2^{\aleph_0} \geq \lambda$).

Given a cardinal $\mu \geq \omega_1$, say that a forcing notion \mathbb{P} is μ -proper if for every regular $\theta > |\text{trcl}(\mathbb{P})|$, every elementary substructure N of $H(\theta)$ of size μ containing \mathbb{P} and every $p \in \mathbb{P} \cap N$, if ${}^\omega N \subseteq N$, then there is an (N, \mathbb{P}) -generic condition $q \in \mathbb{P}$ extending p .

Note: If $\mu^{\aleph_0} = \mu$ and \mathbb{P} is a μ -proper poset, then forcing with \mathbb{P} preserves all stationary sets consisting of ordinals of cofinality μ .

We do not know how to derive Measuring from any “natural” forcing axiom that we can force together with the continuum large.

However,

Given a cardinal $\mu \geq \omega_1$, say that a forcing notion \mathbb{P} is μ -proper if for every regular $\theta > |\text{trcl}(\mathbb{P})|$, every elementary substructure N of $H(\theta)$ of size μ containing \mathbb{P} and every $p \in \mathbb{P} \cap N$, if ${}^\omega N \subseteq N$, then there is an (N, \mathbb{P}) -generic condition $q \in \mathbb{P}$ extending p .

Note: If $\mu^{\aleph_0} = \mu$ and \mathbb{P} is a μ -proper poset, then forcing with \mathbb{P} preserves all stationary sets consisting of ordinals of cofinality μ .

We do not know how to derive Measuring from any “natural” forcing axiom that we can force together with the continuum large.

However,

Given a cardinal $\mu \geq \omega_1$, say that a forcing notion \mathbb{P} is μ -proper if for every regular $\theta > |\text{trcl}(\mathbb{P})|$, every elementary substructure N of $H(\theta)$ of size μ containing \mathbb{P} and every $p \in \mathbb{P} \cap N$, if ${}^\omega N \subseteq N$, then there is an (N, \mathbb{P}) -generic condition $q \in \mathbb{P}$ extending p .

Note: If $\mu^{\aleph_0} = \mu$ and \mathbb{P} is a μ -proper poset, then forcing with \mathbb{P} preserves all stationary sets consisting of ordinals of cofinality μ .

We do not know how to derive Measuring from any “natural” forcing axiom that we can force together with the continuum large.

However,

Theorem 4 Let $\lambda \leq \kappa$ be uncountable cardinals such that λ is regular, $\mu^{\aleph_0} = \mu$ for all uncountable regular cardinal $\mu < \lambda$, $2^{<\kappa} = \kappa$, and $\kappa^{<\lambda} = \kappa$. Then there exists a forcing notion \mathcal{P} with the following properties.

- (1) \mathcal{P} is proper and ${}^\mu$ proper for every uncountable regular cardinal $\mu < \lambda$
- (2) \mathcal{P} has the λ -chain condition. (From (1) and (2), together with the assumption that $\mu^{\aleph_0} = \mu$ for every uncountable regular $\mu < \lambda$, it follows that \mathcal{P} preserves all cofinalities.)
- (3) \mathcal{P} forces $\text{Measuring}_{<\lambda}^*$.
- (4) \mathcal{P} forces $2^{\aleph_0} = \kappa$.