# All automorphisms of all Calkin algebras Part II: Automorphisms of $\mathcal{C}_{\aleph_1}$

Ilijas Farah

York University

RIMS, Kyoto, November 2009

 $H = \ell_2(\aleph_0)$ : an infinite-dimensional complex Hibert space.

 $H = \ell_2(\aleph_0)$ : an infinite-dimensional complex Hibert space.

 $\mathcal{B}(H)$ : The algebra of bounded linear operators.

 $H = \ell_2(\aleph_0)$ : an infinite-dimensional complex Hibert space.

 $\mathcal{B}(H)$ : The algebra of bounded linear operators.

 $\mathcal{K}(H)$ : The ideal of compact operators.

 $H = \ell_2(\aleph_0)$ : an infinite-dimensional complex Hibert space.

 $\mathcal{B}(H)$ : The algebra of bounded linear operators.

 $\mathcal{K}(H)$ : The ideal of compact operators.

C(H) = B(H)/K(H): The quotient C\*-algebra, Calkin algebra.

 $H = \ell_2(\aleph_0)$ : an infinite-dimensional complex Hibert space.

 $\mathcal{B}(H)$ : The algebra of bounded linear operators.

 $\mathcal{K}(H)$ : The ideal of compact operators.

C(H) = B(H)/K(H): The quotient C\*-algebra, Calkin algebra.

 $\pi \colon \mathcal{B}(H) \to \mathcal{C}(H)$ : The quotient map.

```
H = \ell_2(\aleph_0): an infinite-dimensional complex Hibert space.
```

 $\mathcal{B}(H)$ : The algebra of bounded linear operators.

 $\mathcal{K}(H)$ : The ideal of compact operators.

C(H) = B(H)/K(H): The quotient C\*-algebra, Calkin algebra.

 $\pi \colon \mathcal{B}(H) \to \mathcal{C}(H)$ : The quotient map.

Question (Brown-Douglas-Fillmore, 1977)

Are all automorphisms  $\Phi$  of the Calkin algebra inner?

 $H = \ell_2(\aleph_0)$ : an infinite-dimensional complex Hibert space.

 $\mathcal{B}(H)$ : The algebra of bounded linear operators.

 $\mathcal{K}(H)$ : The ideal of compact operators.

C(H) = B(H)/K(H): The quotient C\*-algebra, Calkin algebra.

 $\pi \colon \mathcal{B}(H) \to \mathcal{C}(H)$ : The quotient map.

Question (Brown-Douglas-Fillmore, 1977)

Are all automorphisms  $\Phi$  of the Calkin algebra inner?

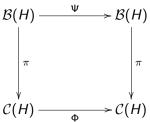
As usually,  $\Phi$  is *inner* if for some  $u \in C(H)$  we have

$$\Phi(a) = uau^*$$

for all a.

### Proposition

An automorphism  $\Phi$  of the Calkin algebra is inner if and only if there is a \*-homomorphism  $\Psi\colon \mathcal{B}(H)\to \mathcal{B}(H)$  such that the diagram



commutes.

Theorem (Phillips-Weaver, 2006)

CH implies C(H) has  $2^{\mathfrak{c}}$  automorphisms, (and only  $\mathfrak{c}$  inner automorphisms).

Theorem (Phillips-Weaver, 2006)

CH implies  $\mathcal{C}(H)$  has  $2^{\mathfrak{c}}$  automorphisms, (and only  $\mathfrak{c}$  inner automorphisms).

Proposition (Farah, Geschke 2007)

If  $\mathfrak{d} = \aleph_1$  then  $\mathcal{C}(H)$  has  $2^{\aleph_1}$  automorphisms.

Theorem (Phillips-Weaver, 2006)

CH implies C(H) has  $2^{\mathfrak{c}}$  automorphisms, (and only  $\mathfrak{c}$  inner automorphisms).

Proposition (Farah, Geschke 2007)

If  $\mathfrak{d}=\aleph_1$  then  $\mathcal{C}(H)$  has  $2^{\aleph_1}$  automorphisms.

Theorem (Farah, 2007)

TA implies all automorphisms of C(H) are inner.

### Theorem (Phillips-Weaver, 2006)

CH implies C(H) has  $2^{\mathfrak{c}}$  automorphisms, (and only  $\mathfrak{c}$  inner automorphisms).

Proposition (Farah, Geschke 2007)

If  $\mathfrak{d}=\aleph_1$  then  $\mathcal{C}(H)$  has  $2^{\aleph_1}$  automorphisms.

Theorem (Farah, 2007)

TA implies all automorphisms of C(H) are inner.

#### Question

What can be said in the case when H is nonseparable?

### Theorem (Phillips-Weaver, 2006)

CH implies C(H) has  $2^{\mathfrak{c}}$  automorphisms, (and only  $\mathfrak{c}$  inner automorphisms).

### Proposition (Farah, Geschke 2007)

If  $\mathfrak{d}=\aleph_1$  then  $\mathcal{C}(H)$  has  $2^{\aleph_1}$  automorphisms.

### Theorem (Farah, 2007)

TA implies all automorphisms of C(H) are inner.

#### Question

What can be said in the case when H is nonseparable?

A sadly incomplete answer will take up today's and tomorrow's lectures.

#### Notation and the theorem

 $\ell_2(\kappa)$ : a complex Hibert space of character density  $\kappa$ .

 $\mathcal{B}_{\kappa} = \mathcal{B}(\ell_2(\kappa))$ : the algebra of bounded linear operators.

 $\mathcal{K}_{\kappa}$ : The ideal of compact operators.

 $\mathcal{C}_{\kappa} = \mathcal{B}_{\kappa}/\mathcal{K}_{\kappa}$ : The Calkin algebra.

 $\pi \colon \mathcal{B}_{\kappa} \to \mathcal{C}_{\kappa}$ : The quotient map.

#### Notation and the theorem

 $\ell_2(\kappa)$ : a complex Hibert space of character density  $\kappa$ .

 $\mathcal{B}_{\kappa} = \mathcal{B}(\ell_2(\kappa))$ : the algebra of bounded linear operators.

 $\mathcal{K}_{\kappa}$ : The ideal of compact operators.

 $C_{\kappa} = \mathcal{B}_{\kappa}/\mathcal{K}_{\kappa}$ : The Calkin algebra.

 $\pi \colon \mathcal{B}_{\kappa} \to \mathcal{C}_{\kappa}$ : The quotient map.

Theorem (Farah-McKenney-Schimmerling, 2009)

Assume MA+TA. Then all automorphisms of  $\mathcal{C}_{\aleph_1}$  are inner.

### Notation and the theorem

 $\ell_2(\kappa)$ : a complex Hibert space of character density  $\kappa$ .

 $\mathcal{B}_{\kappa} = \mathcal{B}(\ell_2(\kappa))$ : the algebra of bounded linear operators.

 $\mathcal{K}_{\kappa}$ : The ideal of compact operators.

 $C_{\kappa} = \mathcal{B}_{\kappa}/\mathcal{K}_{\kappa}$ : The Calkin algebra.

 $\pi \colon \mathcal{B}_{\kappa} \to \mathcal{C}_{\kappa}$ : The quotient map.

Theorem (Farah–McKenney–Schimmerling, 2009)

Assume MA+TA. Then all automorphisms of  $\mathcal{C}_{\aleph_1}$  are inner.

We really prove: If all automorphisms of  $\mathcal{C}_{\aleph_0}$  are inner and MA holds, then all automorphisms of  $\mathcal{C}_{\aleph_1}$  are inner.

# A snapshot of the proof

# A snapshot of the proof



A: a C\*-algebra.

 $\mathcal{U}(A)$ : the unitary group of A.

Aut(A): the automorphism group of A.

A: a C\*-algebra.

 $\mathcal{U}(A)$ : the unitary group of A.

Aut(A): the automorphism group of A.

Define a group homorphism

$$\mathcal{U}(A) \ni u \mapsto \mathsf{Ad}\, u \in \mathsf{Aut}(A)$$

by

$$(\operatorname{Ad} u)(a) = uau^*.$$

A: a C\*-algebra.

 $\mathcal{U}(A)$ : the unitary group of A.

Aut(A): the automorphism group of A.

Define a group homorphism

$$\mathcal{U}(A) \ni u \mapsto \mathsf{Ad}\, u \in \mathsf{Aut}(A)$$

by

$$(\operatorname{Ad} u)(a) = uau^*.$$

#### Fact

All automorphisms of A are inner iff  $u \mapsto Ad u$  is a surjection.

# Reduction to the separable case: Notation

For 
$$\xi < \aleph_1$$
 let  $\mathcal{B}_{\xi} = \ell_2(\xi)$   $\mathcal{K}_{\xi} = \mathcal{K}(\mathcal{B}_{\xi})$   $\mathcal{C}_{\xi} = \mathcal{B}_{\xi}/\mathcal{K}_{\xi}$ 

# Reduction to the separable case: Notation

```
For \xi < \aleph_1 let \mathcal{B}_{\xi} = \ell_2(\xi) \mathcal{K}_{\xi} = \mathcal{K}(\mathcal{B}_{\xi}) \mathcal{C}_{\xi} = \mathcal{B}_{\xi}/\mathcal{K}_{\xi} p_{\xi} = \operatorname{proj}_{\ell_2(\xi)}.
```

For a club  $\mathbf{C} \subseteq \aleph_1$  let

$$\mathcal{D}[\mathbf{C}] = \{ a \in \mathcal{B}_{\aleph_1} \colon ap_\xi = p_\xi a \text{ for all } \xi \in \mathbf{C} \}.$$

For a club  $\mathbf{C} \subseteq \aleph_1$  let

$$\mathcal{D}[\mathbf{C}] = \{ a \in \mathcal{B}_{\aleph_1} \colon ap_\xi = p_\xi a \text{ for all } \xi \in \mathbf{C} \}.$$

#### Lemma

$$\mathcal{B}_{leph_1} = igcup_{\mathbf{C} \; \mathit{club}} \mathcal{D}[\mathbf{C}].$$

For a club  $\mathbf{C} \subseteq \aleph_1$  let

$$\mathcal{D}[\mathbf{C}] = \{ a \in \mathcal{B}_{\aleph_1} \colon ap_\xi = p_\xi a \text{ for all } \xi \in \mathbf{C} \}.$$

#### Lemma

$$\mathcal{B}_{\aleph_1} = \bigcup_{\mathbf{C} \ club} \mathcal{D}[\mathbf{C}].$$

#### Proof.

Pick  $M \prec H_{\mathfrak{c}^+}$  and let  $\delta = M \cap \omega_1$ .

For a club  $\mathbf{C} \subseteq \aleph_1$  let

$$\mathcal{D}[\mathbf{C}] = \{ a \in \mathcal{B}_{\aleph_1} \colon ap_\xi = p_\xi a \text{ for all } \xi \in \mathbf{C} \}.$$

#### Lemma

$$\mathcal{B}_{\aleph_1} = \bigcup_{\textbf{C} \ \textit{club}} \mathcal{D}[\textbf{C}].$$

#### Proof.

Pick  $M \prec H_{c^+}$  and let  $\delta = M \cap \omega_1$ . If  $a \in M \cap \mathcal{B}_{\aleph_1}$  then  $ap_{\delta} = p_{\delta}a$ .

For a club  $\mathbf{C} \subseteq \aleph_1$  let

$$\mathcal{D}[\mathbf{C}] = \{ a \in \mathcal{B}_{\aleph_1} \colon ap_{\xi} = p_{\xi}a \text{ for all } \xi \in \mathbf{C} \}.$$

#### Lemma

$$\mathcal{B}_{\aleph_1} = \bigcup_{\textbf{C} \ \textit{club}} \mathcal{D}[\textbf{C}].$$

#### Proof.

Pick  $M \prec H_{c^+}$  and let  $\delta = M \cap \omega_1$ .

If  $a \in M \cap \mathcal{B}_{\aleph_1}$  then  $ap_{\delta} = p_{\delta}a$ .

If  $a \in \mathcal{B}_{\aleph_1}$  and  $M_{\xi}$ ,  $\xi < \omega_1$ , is an  $\in$ -chain of elementary submodels of  $H_{c^+}$  such that  $a \in M_0$ , then with

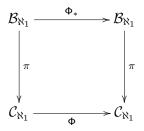
$$\mathbf{C} = \{ M_{\xi} \cap \aleph_1 \colon \xi < \aleph_1 \}$$

we have that  $a \in \mathcal{D}[\mathbf{C}]$ .



Fix  $\Phi \in Aut(\mathcal{C}_{\aleph_1})$ .

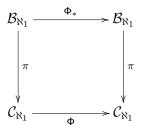
Fix  $\Phi_* \colon \mathcal{B}_{\aleph_1} \to \mathcal{B}_{\aleph_1}$  such that



commutes.

Fix  $\Phi \in Aut(\mathcal{C}_{\aleph_1})$ .

Fix  $\Phi_* \colon \mathcal{B}_{\aleph_1} \to \mathcal{B}_{\aleph_1}$  such that

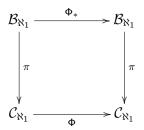


#### commutes.

(Note that  $\Phi_*$  is only a function; we don't assume that it is a \*-homomorphism or that it is Borel measurable.)

Fix  $\Phi \in Aut(\mathcal{C}_{\aleph_1})$ .

Fix  $\Phi_* \colon \mathcal{B}_{\aleph_1} \to \mathcal{B}_{\aleph_1}$  such that



#### commutes.

(Note that  $\Phi_{\ast}$  is only a function; we don't assume that it is a

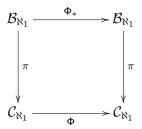
\*-homomorphism or that it is Borel measurable.)

Then

$$\mathbf{C}_{\Phi} = \{ \xi < \aleph_1 \colon \Phi_*(p_{\xi}) = p_{\xi} \}$$

Fix  $\Phi \in Aut(\mathcal{C}_{\aleph_1})$ .

Fix  $\Phi_* \colon \mathcal{B}_{\aleph_1} \to \mathcal{B}_{\aleph_1}$  such that



#### commutes.

(Note that  $\Phi_*$  is only a function; we don't assume that it is a

\*-homomorphism or that it is Borel measurable.)

Then (essentially)

$$\mathbf{C}_{\Phi} = \{ \xi < \aleph_1 \colon \Phi_*(p_{\xi}) = p_{\xi} \}$$

### Now we use the separable case

For each  $\xi \in \mathbf{C}_{\Phi}$  pick  $v_{\xi}$  such that Ad  $v_{\xi}$  is a representation of  $\Phi \upharpoonright \mathcal{C}_{\xi}$ .

### Now we use the separable case

For each  $\xi \in \mathbf{C}_{\Phi}$  pick  $v_{\xi}$  such that Ad  $v_{\xi}$  is a representation of  $\Phi \upharpoonright \mathcal{C}_{\xi}$ .

#### Lemma

If there exists  $u \in \mathcal{B}_{\aleph_1}$  such that for all  $\xi \in \mathbf{C}_{\Phi}$  we have  $up_{\xi} = v_{\xi}$ , then Ad u is a representation of  $\Phi$ .

## Now we use the separable case

For each  $\xi \in \mathbf{C}_{\Phi}$  pick  $v_{\xi}$  such that Ad  $v_{\xi}$  is a representation of  $\Phi \upharpoonright \mathcal{C}_{\xi}$ .

#### Lemma

If there exists  $u \in \mathcal{B}_{\aleph_1}$  such that for all  $\xi \in \mathbf{C}_{\Phi}$  we have  $up_{\xi} = v_{\xi}$ , then Ad u is a representation of  $\Phi$ .

If each  $v_{\xi}$  was unique then we would be done...

## Now we use the separable case

For each  $\xi \in \mathbf{C}_{\Phi}$  pick  $v_{\xi}$  such that Ad  $v_{\xi}$  is a representation of  $\Phi \upharpoonright \mathcal{C}_{\xi}$ .

#### Lemma

If there exists  $u \in \mathcal{B}_{\aleph_1}$  such that for all  $\xi \in \mathbf{C}_{\Phi}$  we have  $up_{\xi} = v_{\xi}$ , then Ad u is a representation of  $\Phi$ .

If each  $v_{\xi}$  was unique then we would be done... ... but the truth is more interesting.

## We need to describe the following set:

 $\{w \in \mathcal{B}(H) \colon \mathsf{Ad}\ w \text{ is a representation of } \Phi \upharpoonright \mathcal{C}_{\xi}\}$ 

## We need to describe the following set:

$$\{w\in \mathcal{B}(H)\colon \operatorname{Ad} w \text{ is a representation of } \Phi \upharpoonright \mathcal{C}_\xi\}$$
 or rather, for unitaries  $u,v$  in  $\mathcal{B}(H)$ , the relation 
$$v\sim w \text{ iff } \operatorname{Ad} \pi(u)=\operatorname{Ad} \pi(v).$$

#### Lemma

For u and v in  $\mathcal{U}(\mathcal{B}(H))$  we have  $\operatorname{Ad} u = \operatorname{Ad} v$  if and only if u = zv for some  $z \in \mathbb{C}$ .

#### Lemma

For u and v in  $\mathcal{U}(\mathcal{B}(H))$  we have  $\operatorname{Ad} u = \operatorname{Ad} v$  if and only if u = zv for some  $z \in \mathbb{C}$ .

### Proof.

Fact:  $Z(\mathcal{B}(H)) = \mathbb{C}$ .

#### Lemma

For u and v in  $\mathcal{U}(\mathcal{B}(H))$  we have  $\operatorname{Ad} u = \operatorname{Ad} v$  if and only if u = zv for some  $z \in \mathbb{C}$ .

### Proof.

Fact:  $Z(\mathcal{B}(H)) = \mathbb{C}$ .

We have Ad  $u \equiv \text{Ad } v$  if and only if  $uau^* = vav^*$  for all a

#### Lemma

For u and v in  $\mathcal{U}(\mathcal{B}(H))$  we have  $\operatorname{Ad} u = \operatorname{Ad} v$  if and only if u = zv for some  $z \in \mathbb{C}$ .

### Proof.

```
Fact: Z(\mathcal{B}(H)) = \mathbb{C}.
We have \operatorname{Ad} u \equiv \operatorname{Ad} v if and only if uau^* = vav^* for all a if and only if (v^*u)a(u^*v) = a for all a
```

#### Lemma

For u and v in  $\mathcal{U}(\mathcal{B}(H))$  we have  $\operatorname{Ad} u = \operatorname{Ad} v$  if and only if u = zv for some  $z \in \mathbb{C}$ .

### Proof.

Fact:  $Z(\mathcal{B}(H)) = \mathbb{C}$ . We have Ad  $u \equiv \operatorname{Ad} v$  if and only if  $uau^* = vav^*$  for all a if and only if  $(v^*u)a(u^*v) = a$  for all a if and only if  $(v^*u)a(v^*u)^* = a$  for all a

#### Lemma

For u and v in  $\mathcal{U}(\mathcal{B}(H))$  we have  $\operatorname{Ad} u = \operatorname{Ad} v$  if and only if u = zv for some  $z \in \mathbb{C}$ .

### Proof.

Fact:  $Z(\mathcal{B}(H)) = \mathbb{C}$ . We have  $\operatorname{Ad} u \equiv \operatorname{Ad} v$  if and only if  $uau^* = vav^*$  for all a if and only if  $(v^*u)a(u^*v) = a$  for all a if and only if  $(v^*u)a(v^*u)^* = a$  for all a if and only if  $(v^*u)a = a(v^*u)$  for all a

#### Lemma

For u and v in  $\mathcal{U}(\mathcal{B}(H))$  we have  $\operatorname{Ad} u = \operatorname{Ad} v$  if and only if u = zv for some  $z \in \mathbb{C}$ .

#### Proof.

Fact:  $Z(\mathcal{B}(H)) = \mathbb{C}$ . We have Ad  $u \equiv \operatorname{Ad} v$ 

if and only if  $uau^* = vav^*$  for all a if and only if  $(v^*u)a(u^*v) = a$  for all a if and only if  $(v^*u)a(v^*u)^* = a$  for all a if and only if  $(v^*u)a = a(v^*u)$  for all a if and only if  $v^*u \in Z(\mathcal{B}(H))$ .

# A description of $\sim$ on C(H)

For u and v in C(H) we have Ad  $u \equiv \operatorname{Ad} v$  iff u = zv for  $z \in \mathbb{C}$ , but that fact is of no use.

For u and v in C(H) we have Ad  $u \equiv \operatorname{Ad} v$  iff u = zv for  $z \in \mathbb{C}$ , but that fact is of no use.

#### Lemma

For u and v in  $\mathcal{B}(H)$  such that  $\pi(u)$  and  $\pi(v)$  are unitaries in  $\mathcal{C}(H)$  we have  $\operatorname{Ad} \pi(u) \equiv \operatorname{Ad} \pi(v)$  if and only if

For u and v in C(H) we have Ad  $u \equiv \operatorname{Ad} v$  iff u = zv for  $z \in \mathbb{C}$ , but that fact is of no use.

#### Lemma

For u and v in  $\mathcal{B}(H)$  such that  $\pi(u)$  and  $\pi(v)$  are unitaries in  $\mathcal{C}(H)$  we have  $\operatorname{Ad} \pi(u) \equiv \operatorname{Ad} \pi(v)$  if and only if there exists  $z \in \mathbb{T}$  such that u - zv is compact.

# Choosing the unitaries

For  $\aleph_0 \leq \xi$  pick  $v_{\xi}$  so that

1. Ad  $v_{\xi}$  is a representation of  $\Phi \upharpoonright \mathcal{C}_{\xi}$ , and

# Choosing the unitaries

For  $\aleph_0 \leq \xi$  pick  $v_{\xi}$  so that

- 1. Ad  $v_{\xi}$  is a representation of  $\Phi \upharpoonright \mathcal{C}_{\xi}$ , and
- 2.  $v_{\aleph_0} p_{\aleph_0} v_{\xi}$  is compact.

# Choosing the unitaries

For  $\aleph_0 \leq \xi$  pick  $v_{\xi}$  so that

- 1. Ad  $v_{\xi}$  is a representation of  $\Phi \upharpoonright \mathcal{C}_{\xi}$ , and
- 2.  $v_{\aleph_0} p_{\aleph_0} v_{\xi}$  is compact.

Then for all  $\aleph_0 \leq \eta < \xi$  we have that

$$v_{\eta}-p_{\eta}v_{\xi}$$

is compact.

Let

$$X_{\xi} = \{ w \in \mathcal{B}_{\xi} \colon w - v_{\xi} \in \mathcal{K}_{\xi} \}$$

considered as a metric space wrt

$$d_{\xi}(u,w) = \|u - w\|$$

Let

$$X_{\xi} = \{ w \in \mathcal{B}_{\xi} \colon w - v_{\xi} \in \mathcal{K}_{\xi} \}$$

considered as a metric space wrt

$$d_{\xi}(u,w) = \|u-w\|$$

and let  $\pi_{\xi\eta}\colon X_\xi o X_\eta$  be

$$\pi_{\xi\eta}(w) = p_{\eta}wp_{\eta}.$$

Let

$$X_{\xi} = \{ w \in \mathcal{B}_{\xi} \colon w - v_{\xi} \in \mathcal{K}_{\xi} \}$$

considered as a metric space wrt

$$d_{\xi}(u,w) = \|u - w\|$$

and let  $\pi_{\xi\eta}\colon X_\xi o X_\eta$  be

$$\pi_{\xi\eta}(w)=p_{\eta}wp_{\eta}.$$

#### **Fact**

 $T = \langle X_{\xi}, \pi_{\xi\eta} \colon \omega \leq \eta < \xi < \omega_1 \rangle$  is a Polish  $\omega_1$ -tree.

Assume T has an  $\omega_1$ -branch,  $w_{\xi}$ , for  $\xi < \omega_1$ . Define  $w \in \mathcal{B}(\ell_2(\aleph_1))$  by

$$w(x) = \lim_{\xi \to \omega_1} w_{\xi}(x)$$

Assume T has an  $\omega_1$ -branch,  $w_{\xi}$ , for  $\xi < \omega_1$ .

Define  $w \in \mathcal{B}(\ell_2(\aleph_1))$  by

$$w(x) = \lim_{\xi \to \omega_1} w_{\xi}(x)$$

Then  $\operatorname{Ad} \pi(w)$  implements  $\Phi$ .

Assume T has an  $\omega_1$ -branch,  $w_{\xi}$ , for  $\xi < \omega_1$ . Define  $w \in \mathcal{B}(\ell_2(\aleph_1))$  by

$$w(x) = \lim_{\xi \to \omega_1} w_{\xi}(x)$$

Then Ad  $\pi(w)$  implements  $\Phi$ . So we may assume T has no  $\omega_1$ -branches.

For  $a \in \mathcal{B}_{\aleph_1}$  define

$$X(a)_{\xi} = \{waw^* : w \in X_{\xi}\}$$

wrt the norm metric and  $\pi_{\xi\eta}\colon X(a)_\xi \to X(a)_\eta$  via

$$\pi_{\xi\eta}(waw^*) = p_{\eta}waw^*p_{\eta}.$$

For  $a \in \mathcal{B}_{\aleph_1}$  define

$$X(a)_{\xi} = \{waw^* \colon w \in X_{\xi}\}$$

wrt the norm metric and  $\pi_{\xi\eta}\colon X(a)_\xi o X(a)_\eta$  via

$$\pi_{\xi\eta}(waw^*) = p_{\eta}waw^*p_{\eta}.$$

#### Lemma

1. Each T(a) is a Polish  $\omega_1$ -tree.

For  $a \in \mathcal{B}_{\aleph_1}$  define

$$X(a)_{\xi} = \{waw^* \colon w \in X_{\xi}\}$$

wrt the norm metric and  $\pi_{\xi\eta}\colon X(a)_\xi \to X(a)_\eta$  via

$$\pi_{\xi\eta}(waw^*) = p_{\eta}waw^*p_{\eta}.$$

#### Lemma

- 1. Each T(a) is a Polish  $\omega_1$ -tree.
- 2. T(a) has an  $\omega_1$ -branch, defined by  $\Phi_*(a)$ .

For  $a \in \mathcal{B}_{\aleph_1}$  define

$$X(a)_{\xi} = \{waw^* \colon w \in X_{\xi}\}$$

wrt the norm metric and  $\pi_{\xi\eta}\colon X(a)_\xi \to X(a)_\eta$  via

$$\pi_{\xi\eta}(waw^*) = p_{\eta}waw^*p_{\eta}.$$

#### Lemma

- 1. Each T(a) is a Polish  $\omega_1$ -tree.
- 2. T(a) has an  $\omega_1$ -branch, defined by  $\Phi_*(a)$ .

We add a generic  $a \in \mathcal{B}_{\aleph_1}$  such that T(a) has no  $\omega_1$ -branches.



Consider the forcing  $\mathbb{P}_Z$  with conditions  $p=(F_p,M_p)$ , where  $F_p\subseteq Z$  is finite and  $M_p$  is a matrix over  $\mathbb{Q}+i\mathbb{Q}$  indexed by  $F_p\times F_p$  such that  $\|M_p\|<1$ .

Consider the forcing  $\mathbb{P}_Z$  with conditions  $p=(F_p,M_p)$ , where  $F_p\subseteq Z$  is finite and  $M_p$  is a matrix over  $\mathbb{Q}+i\mathbb{Q}$  indexed by  $F_p\times F_p$  such that  $\|M_p\|<1$ . Let  $p\le q$  iff  $F_p\supseteq F_q$  and  $M_p$  extends  $M_q$ .

Consider the forcing  $\mathbb{P}_Z$  with conditions  $p=(F_p,M_p)$ , where  $F_p\subseteq Z$  is finite and  $M_p$  is a matrix over  $\mathbb{Q}+i\mathbb{Q}$  indexed by  $F_p\times F_p$  such that  $\|M_p\|<1$ . Let  $p\le q$  iff  $F_p\supseteq F_q$  and  $M_p$  extends  $M_q$ .

#### Lemma

If Z is countable then  $\mathbb{P}_Z$  is ccc.

Consider the forcing  $\mathbb{P}_Z$  with conditions  $p=(F_p,M_p)$ , where  $F_p\subseteq Z$  is finite and  $M_p$  is a matrix over  $\mathbb{Q}+i\mathbb{Q}$  indexed by  $F_p\times F_p$  such that  $\|M_p\|<1$ . Let  $p\le q$  iff  $F_p\supseteq F_q$  and  $M_p$  extends  $M_q$ .

#### Lemma

If Z is countable then  $\mathbb{P}_Z$  is ccc.

( $\mathbb{P}_Z$  is essentially adding a Cohen real to the unit ball of  $\mathcal{B}(\ell_2(Z))$  in the weak operator topology.)

 $\mathbb{P}_{\aleph_1} \text{ collapses } \aleph_1.$ 

 $\mathbb{P}_{\aleph_1}$  collapses  $\aleph_1$ . Let  $\mathbb{P}$  be the finite support product of  $\aleph_1$  copies of  $\mathbb{P}_{\aleph_0}$ .

$$\begin{split} \mathbb{P}_{\aleph_1} \text{ collapses } \aleph_1. \\ \text{Let } \mathbb{P} \text{ be the finite support product of } \aleph_1 \text{ copies of } \mathbb{P}_{\aleph_0}. \\ \text{Then } \mathbb{P} \text{ is ccc and it adds a generic element } \textit{a} \text{ to } \mathcal{D}[\textbf{C}_{\Phi}]. \end{split}$$

### Bad news and good news

 $\mathbb{P}_{\aleph_1}$  collapses  $\aleph_1$ .

Let  $\mathbb{P}$  be the finite support product of  $\aleph_1$  copies of  $\mathbb{P}_{\aleph_0}$ . Then  $\mathbb{P}$  is ccc and it adds a generic element a to  $\mathcal{D}[\mathbf{C}_{\Phi}]$ .

#### Lemma

If T has no cofinal branch, then  $\mathbb{P}$  forces that T(a) has no cofinal branch.

Let  $\varepsilon > 0$  and  $\mathbb Q$  be a ccc forcing that  $\varepsilon$ -specializes a subtree of  $\mathcal T(g)$ .

Let  $\varepsilon > 0$  and  $\mathbb Q$  be a ccc forcing that  $\varepsilon$ -specializes a subtree of  $\mathcal T(g)$ .

Applying MA to  $\mathbb{P}*\dot{\mathbb{Q}}$ , find  $g\in\mathcal{B}_{\aleph_1}$  such that T(a) has (cofinal)  $\varepsilon$ -special subtree.

Let  $\varepsilon > 0$  and  $\mathbb Q$  be a ccc forcing that  $\varepsilon$ -specializes a subtree of  $\mathcal T(g)$ .

Applying MA to  $\mathbb{P}*\dot{\mathbb{Q}}$ , find  $g\in\mathcal{B}_{\aleph_1}$  such that T(a) has (cofinal)  $\varepsilon$ -special subtree.

#### Lemma

If T(a) has a cofinal branch then every cofinal subtree of T(g) has a cofinal branch.

Let  $\varepsilon>0$  and  $\mathbb Q$  be a ccc forcing that  $\varepsilon$ -specializes a subtree of T(g).

Applying MA to  $\mathbb{P}*\dot{\mathbb{Q}}$ , find  $g\in\mathcal{B}_{\aleph_1}$  such that T(a) has (cofinal)  $\varepsilon$ -special subtree.

#### Lemma

If T(a) has a cofinal branch then every cofinal subtree of T(g) has a cofinal branch.

#### Proof.

T(a) is coherent because  $\mathcal{K}_{\aleph_1}$  is the closure of finite rank operators (see the next slide).

Let  $\varepsilon>0$  and  $\mathbb Q$  be a ccc forcing that  $\varepsilon$ -specializes a subtree of T(g).

Applying MA to  $\mathbb{P}*\dot{\mathbb{Q}}$ , find  $g\in\mathcal{B}_{\aleph_1}$  such that T(a) has (cofinal)  $\varepsilon$ -special subtree.

#### Lemma

If T(a) has a cofinal branch then every cofinal subtree of T(g) has a cofinal branch.

#### Proof.

T(a) is coherent because  $\mathcal{K}_{\aleph_1}$  is the closure of finite rank operators (see the next slide).

Since this is a contradiction, we conclude that T has a cofinal branch, hence  $\Phi$  is inner.  $\square$ 

A Polish  $\omega_1$ -tree  $T = \langle X_{\xi}, \pi_{\xi\eta}, \eta < \xi < \omega_1 \rangle$  is coherent

A Polish  $\omega_1$ -tree  $T=\langle X_\xi,\pi_{\xi\eta},\eta<\xi<\omega_1\rangle$  is *coherent* if there is a set Z such that

1.  $X_{\xi} \subseteq Z^{\xi}$  for all  $\xi$ ,

A Polish  $\omega_1$ -tree  $T=\langle X_\xi,\pi_{\xi\eta},\eta<\xi<\omega_1\rangle$  is *coherent* if there is a set Z such that

- 1.  $X_{\xi} \subseteq Z^{\xi}$  for all  $\xi$ ,
- 2.  $\pi_{\xi\eta}(x) = x \upharpoonright \eta$  for  $x \in X_{\xi}$  and  $\eta < \xi < \omega_1$ ,

A Polish  $\omega_1$ -tree  $T=\langle X_\xi,\pi_{\xi\eta},\eta<\xi<\omega_1\rangle$  is *coherent* if there is a set Z such that

- 1.  $X_{\xi} \subseteq Z^{\xi}$  for all  $\xi$ ,
- 2.  $\pi_{\xi\eta}(x) = x \upharpoonright \eta$  for  $x \in X_{\xi}$  and  $\eta < \xi < \omega_1$ ,
- 3. For all x, y in  $X_{\xi}$  and  $\varepsilon > 0$  there is a finite  $F \subseteq \xi$  such that

$$\inf_{y'} d_{\xi}(x, y') < \varepsilon$$

A Polish  $\omega_1$ -tree  $T=\langle X_\xi,\pi_{\xi\eta},\eta<\xi<\omega_1\rangle$  is *coherent* if there is a set Z such that

- 1.  $X_{\xi} \subseteq Z^{\xi}$  for all  $\xi$ ,
- 2.  $\pi_{\xi\eta}(x) = x \upharpoonright \eta$  for  $x \in X_{\xi}$  and  $\eta < \xi < \omega_1$ ,
- 3. For all x, y in  $X_{\xi}$  and  $\varepsilon > 0$  there is a finite  $F \subseteq \xi$  such that

$$\inf_{y'} d_{\xi}(x, y') < \varepsilon$$

with  $y' \in X_{\xi}$  satisfying

$$\{\zeta < \xi \colon y'(\zeta) \neq y(\zeta)\} \subseteq F.$$

A Polish  $\omega_1$ -tree  $T=\langle X_\xi,\pi_{\xi\eta},\eta<\xi<\omega_1\rangle$  is *coherent* if there is a set Z such that

- 1.  $X_{\xi} \subseteq Z^{\xi}$  for all  $\xi$ ,
- 2.  $\pi_{\xi\eta}(x) = x \upharpoonright \eta$  for  $x \in X_{\xi}$  and  $\eta < \xi < \omega_1$ ,
- 3. For all x, y in  $X_{\xi}$  and  $\varepsilon > 0$  there is a finite  $F \subseteq \xi$  such that

$$\inf_{y'} d_{\xi}(x, y') < \varepsilon$$

with  $y' \in X_{\xi}$  satisfying

$$\{\zeta < \xi \colon y'(\zeta) \neq y(\zeta)\} \subseteq F.$$

#### Lemma

If a coherent  $P\omega_1$ -tree has a cofinal branch, then each one of its cofinal subtrees has a cofinal branch.



A Polish  $\omega_1$ -tree  $T=\langle X_\xi,\pi_{\xi\eta},\eta<\xi<\omega_1\rangle$  is *coherent* if there is a set Z such that

- 1.  $X_{\xi} \subseteq Z^{\xi}$  for all  $\xi$ ,
- 2.  $\pi_{\xi\eta}(x) = x \upharpoonright \eta$  for  $x \in X_{\xi}$  and  $\eta < \xi < \omega_1$ ,
- 3. For all x, y in  $X_{\xi}$  and  $\varepsilon > 0$  there is a finite  $F \subseteq \xi$  such that

$$\inf_{y'} d_{\xi}(x, y') < \varepsilon$$

with  $y' \in X_{\xi}$  satisfying

$$\{\zeta < \xi \colon y'(\zeta) \neq y(\zeta)\} \subseteq F.$$

#### Lemma

If a coherent  $P\omega_1$ -tree has a cofinal branch, then each one of its cofinal subtrees has a cofinal branch.

In particular, it cannot have a cofinal special subtree.



Theorem (Farah–McKenney–Schimmerling, 2009)

Assume PFA. Then all automorphisms of  $C_{\kappa}$  are inner, for every infinite cardinal  $\kappa$ .

### Theorem (Farah–McKenney–Schimmerling, 2009)

Assume PFA. Then all automorphisms of  $C_{\kappa}$  are inner, for every infinite cardinal  $\kappa$ .

The proof involves uniformization of 'Polish coherent families' using PFA.

### Theorem (Farah–McKenney–Schimmerling, 2009)

Assume PFA. Then all automorphisms of  $C_{\kappa}$  are inner, for every infinite cardinal  $\kappa$ .

The proof involves uniformization of 'Polish coherent families' using PFA.

#### Next time

What we don't know.